



AMERICAN METEOROLOGICAL SOCIETY

Bulletin of the American Meteorological Society

EARLY ONLINE RELEASE

This is a preliminary PDF of the author-produced manuscript that has been peer-reviewed and accepted for publication. Since it is being posted so soon after acceptance, it has not yet been copyedited, formatted, or processed by AMS Publications. This preliminary version of the manuscript may be downloaded, distributed, and cited, but please be aware that there will be visual differences and possibly some content differences between this version and the final published version.

The DOI for this manuscript is doi: [10.1175/2010BAMS2935.1](https://doi.org/10.1175/2010BAMS2935.1)

The final published version of this manuscript will replace the preliminary version at the above DOI once it is available.



Indirect and Semi-Direct Aerosol Campaign (ISDAC): The Impact of Arctic Aerosols on Clouds

Greg M. McFarquhar^{1*}, Steven Ghan², Johannes Verlinde³, Alexei Korolev⁴, J. Walter Strapp⁴, Beat Schmid², Jason M. Tomlinson², Mengistu Wolde⁵, Sarah D. Brooks⁶, Dan Cziczo², Manvendra K. Dubey⁷, Jiwen Fan², Connor Flynn², Ismail Gultepe⁴, John Hubbe², Mary K. Gilles⁸, Alexander Laskin², Paul Lawson⁹, W. Richard Leitch⁴, Peter Liu⁴, Xiaohong Liu², Dan Lubin¹⁰, Claudio Mazzoleni^{7&}, Ann-Marie Macdonald⁴, Ryan C. Moffet⁸, Hugh Morrison¹¹, Mikhail Ovchinnikov², Matthew D. Shupe¹², David D. Turner¹³, Shaocheng Xie¹⁴, Alla Zelenyuk², Kenny Bae¹, Matt Freer¹⁺ and Andrew Glen⁶

¹University of Illinois, Illinois, USA

²Pacific Northwest National Laboratory, Richland, WA

³Penn State University, State Park, PA

⁴Science and Technology Branch, Environment Canada, Downsview, Canada

⁵National Research Council of Canada, Ottawa, Canada

⁶Texas A&M University, College Station, TX

⁷Los Alamos National Laboratory, Los Alamos, NM

⁸Lawrence Berkeley National Laboratory, Berkeley, CA

⁹Stratton Park Engineering Company, Boulder, CO

¹⁰Scripps Institution of Oceanography, La Jolla, CA

¹¹National Center for Atmospheric Research, Boulder, CO

¹²Cooperative Institute for Research in Environmental Science, University of Colorado/NOAA, Boulder, CO

¹³University of Wisconsin, Madison, WI

¹⁴Lawrence Livermore National Laboratory, Livermore, CA

⁺ Current Affiliation: European Facility for Airborne Research, Toulouse, France

[&]Current Affiliation: Michigan Technological University, Houghton, MI

Submitted to *Bull. Amer. Meteor. Soc.*, February 2010

*Corresponding Author: Dr. Greg M. McFarquhar, University of Illinois, Dept. of

Atmospheric Sciences, 105 S. Gregory St., Urbana, IL, 61801-3070.

Capsule Summary

Preliminary scientific findings about cloud and aerosol processes in arctic mixed-phase clouds are described using a unique set of data collected during the Indirect and Semi-Direct Aerosol Campaign (ISDAC) designed to enhance predictions of impacts on the Arctic from climate change.

Abstract

A comprehensive dataset of microphysical and radiative properties of aerosols and clouds in the boundary layer in the vicinity of Barrow, Alaska was collected in April 2008 during the Indirect and Semi-Direct Aerosol Campaign (ISDAC). ISDAC's primary aim was to examine effects of aerosols, including those generated by Asian wild fires, on clouds that contain both liquid and ice. ISDAC utilized the Atmospheric Radiation Measurement Program's permanent observational facilities at Barrow, and specially deployed instruments measuring aerosol, ice fog, precipitation and radiation. The National Research Council of Canada Convair-580 flew 27 sorties collecting data using an unprecedented 41 state-of-the-art cloud and aerosol instruments for more than 100 hours on 12 different days. Aerosol compositions, including fresh and processed sea salt, biomass burning particles, organics, and sulfates mixed with organics, varied between flights. Observations in a dense arctic haze on 19 April and above, within and below single-layer stratocumulus on 8 and 26 April are enabling a process-oriented understanding of how aerosols affect arctic clouds. Inhomogeneities in reflectivity, a close coupling of upward and downward Doppler motion and a nearly constant ice profile in the single-layer stratocumulus suggests vertical mixing is responsible for its longevity observed during ISDAC. Data acquired in cirrus on flights between Barrow and Fairbanks are improving the understanding of the performance of cloud probes in ice. Ultimately ISDAC data will improve the representation of cloud and aerosol processes in models covering a variety of spatial and temporal scales, and determine the extent to which surface measurements can provide retrievals of aerosols, clouds, precipitation and radiative heating.

1. Introduction

Recent studies (ACIA 2005) concluded that the Arctic is warming twice as fast as the rest of the Earth, resulting in a rapid retreat of the arctic sea-ice. In September 2007, sea-ice extent was the smallest since satellite observations began in 1979 (Comiso et al. 2008). The observed sea ice melting and polar amplification of the warming exceeds expectations based on simulations of the climate response to increasing greenhouse gas concentrations (IPCC 2007). Although snow and ice albedo feedbacks are likely primary drivers for the polar amplification (Vavrus 2004), other forcing and feedback mechanisms also play important roles. In particular, climate simulations suggest that cloud feedback (Vavrus 2004) and absorption by black carbon (Quinn et al. 2008) are important contributors to arctic warming. Indeed, observations show that changes in clouds may have played a significant role in the large sea ice loss during 2007 (Kay and Gettelman 2009). However, the uncertainty associated with the treatment of clouds and aerosols in climate models leads to large uncertainties in prediction of arctic climate change (Inoue et al. 2006). Thus, projections from global climate models (GCMs) range from the summer sea ice vanishing within 30 years (Wang and Overland 2009) to the end of the twenty-first century (Boe et al. 2009), with most models significantly underestimating observed trends in arctic ice seasonal decline (Stroeve et al. 2007).

Complex interactions between clouds, aerosols and other components of the arctic climate system must be better understood to resolve the large differences between observations and GCM simulations of arctic climate change. Observations show that clouds have an annual-mean net warming effect on the arctic surface (Walsh and Chapman 1998). However, GCM simulations and radiative transfer calculations show this effect is sensitive to assumed cloud properties: for instance, modifying the effective

radius of water droplets by 50% can alter the surface energy budget by up to 40 W m^{-2} (Curry et al. 1993) and a change in effective radius of ice crystals can induce variations up to 80 W m^{-2} (Harrington and Olsson 2001).

Field measurements provide the basis for improved understanding and representation of arctic cloud and aerosol processes in GCMs. Data collected at the Department of Energy Atmospheric Radiation Measurement Program's ground-based facility at the North Slope of Alaska (NSA) show that mixed-phase clouds, where water and ice co-exist, prevail in the spring and fall (Intrieri et al. 2002). These clouds have a significant impact on the radiative budget (e.g., Dong et al. 2001) and occur in both single and multiple layers (Verlinde et al. 2007), with a typical layer structure having liquid tops and precipitating ice (Hobbs and Rangno 1998).

Arctic mixed-phase clouds can persist for days (Shupe et al. 2006). Modeling studies suggest they persist due to the balance between cloud top radiative cooling, latent heating, ice sedimentation and large-scale forcing (Pinto 1998; Harrington et al. 1999). This balance depends on assumptions about ice fall speeds, cloud single-scattering properties, ice nuclei (IN) concentrations, primary and secondary nucleation mechanisms and large scale forcing (e.g., Jiang et al. 2000). Fridlind et al. (2007) showed that the observed ambient IN were insufficient to explain observed ice crystal concentrations and hypothesized that the formation of IN from drop evaporation residuals and drop freezing during evaporation might explain the observations. However, because this study was based on observations acquired in pristine conditions during the Mixed-Phase Arctic Cloud Experiment (M-PACE) and because the response of clouds to increased aerosols depends on underlying surface conditions (Morrison et al. 2008), aerosol impacts on arctic clouds in a variety of surface and meteorological conditions must be quantified.

There are considerable variations in the concentrations and composition of arctic aerosols. Shaw (1982) first identified the arctic haze associated with the transport of aerosols from Europe and Asia. Aerosols are typically found in stratified layers at altitudes up to 9 km (Barrie 1986). A strong seasonal cycle has been observed over Barrow (Quinn et al. 2002) with the greatest concentrations found during winter and a marked transition between March and May as the haze becomes rarer and clouds more frequent and thick. Prior observations also suggest that higher cloud condensation nuclei (CCN) numbers occur in winter and early spring than in summer or early autumn (Leitch et al. 1984; Yum and Hudson 2001), and that IN occur less frequently in October (Prezzi et al. 2008) than in May (Rogers et al. 2001). Seasonal and long-term variations in nuclei should produce variations in droplet and crystal concentrations. The influence of corresponding changes in cloud microphysical properties on solar radiation is known as the aerosol indirect effect on energy balance. In the arctic winter, variations in aerosol properties produce a longwave indirect effect in which higher drop numbers and smaller sizes increase longwave emissivity of clouds (Garrett and Zhao 2006; Lubin and Vogelmann 2006).

Black carbon has been measured in aerosols at the ground in Barrow (Hansen et al. 1989; Quinn et al. 2008) and Alert (Sharma et al. 2004) and by aircraft in Svalbard Norway (Hara et al. 2003). Even though sources of black carbon have been characterized (Stohl 2006; Shindell et al. 2008) the process of its deposition to the surface is not. Arctic climate is sensitive to black carbon because it affects snow albedo, accelerates melting (McConnell et al. 2007), and can lead to the evaporation of low-level clouds, the aerosol semi-direct effect on energy balance. Shindell and Faluvegi (2009) found that up to 70% of Arctic warming since 1976 could be accounted for by increased black carbon

emissions. Thus, there is a need to identify the vertical distribution, composition and concentration of aerosols for studies of aerosol-cloud interactions.

Most prior studies of aerosol effects on clouds and radiation examined liquid clouds. Although some satellite studies have examined changes in ice crystal sizes due to increases in aerosols (Sherwood 2002), there are few studies of aerosol influences on mixed-phase clouds, particularly from a coordinated in-situ/remote sensing perspective. Because phase affects cloud radiative properties (e.g., Sun and Shine 2004), large eddy simulations, cloud resolving models and GCMs must correctly treat aerosol effects on all phases of clouds to determine radiative effects. Since Klein et al. (2009) and Morrison et al. (2009) have recently shown more sophisticated representations of cloud microphysics produce simulations more consistent with observations, there is need for aerosol and cloud observations to develop and test model parameterizations. Prior field campaigns studying arctic clouds, such as the Surface Heat Budget of Arctic Ocean Experiment (SHEBA, Uttal et al. 2002), the First International Satellite Cloud Climatology Project Regional Experiment Arctic Cloud Experiment (Curry et al. 2000), and M-PACE (Verlinde et al. 2007) provided some aerosol and cloud information, but more comprehensive and coordinated information on cloud and aerosol properties is needed during the early spring when aerosol concentrations are high and sunlight is available to influence the arctic energy balance, and during May when melting begins and the efficacy of the forcing is the greatest (Flanner et al. 2010).

Three coordinated field experiments conducted over Alaska during April of the International Polar Year (2008) provided such data. The Indirect and Semi-Direct Aerosol Campaign (ISDAC) is the focus of this manuscript. The Arctic Research of the Composition of the Troposphere from Aircraft and Satellites Experiment (Jacob et al.

2009) and the Aerosol, Radiation and Cloud Processes affecting the Arctic Experiment (Warneke et al. 2009) were conducted at the same time as ISDAC and also contribute to this database.

This article provides an overview of ISDAC. The primary goal of ISDAC was to improve our knowledge on how changes in the composition and concentration of aerosols influence cloud properties and the associated radiative forcing. ISDAC, conducted in April 2008, built upon the success of M-PACE, conducted in October 2004, by allowing arctic aerosol and cloud properties to be contrasted between the relatively pristine fall and more polluted spring seasons. The National Research Council (NRC) of Canada Convair-580 flew 27 sorties (and 12 other transits and test flights), collecting data from an unprecedented 41 cloud and aerosol instruments for more than 100 hours on 12 different days in varying aerosol, surface and meteorological conditions. Flight plans were devised such that sampling was conducted above, below and at varying altitudes in cloud so that the data could be used for both process-oriented and statistical analysis.

The primary science questions addressed by ISDAC are listed in Table 1. A general theme was to provide detailed observations of aerosols and clouds. The ultimate goal of the Office of Biological and Environmental Research of the Office of Science in the Department of Energy is to deliver improved scientific data and models describing the potential response of the Earth's climate to increased greenhouse gas levels. Therefore ISDAC was designed to gather high quality data needed to improve the treatment of clouds and aerosols in climate models. Another important component was to provide observations and processed data for evaluating ground and space borne remotely sensed cloud, aerosol, precipitation and radiation profiles: these tie ISDAC to long-term observational data sets collected at the NSA site in Barrow.

2. Ground Network Observations

The NSA site, 2 km south of the Arctic Ocean near Barrow (71°19'N and 156°37'W) has been operated since 1997. The site was established because of the rapid changes occurring in the arctic environment and the large climate sensitivity due to the snow/ice albedo feedback. Cloud profiles are derived primarily from a vertically pointing 35 GHz cloud radar and a micropulse cloud lidar. In addition to cloud occurrence, profiles of cloud microphysical properties are provided through various retrieval algorithms (e.g., Shupe et al. 2005). Other instruments such as the Atmospheric Emitted Radiance Interferometer, a microwave radiometer and a Multifilter Rotating Shadowband Radiometer are used to derive cloud properties integrated over the entire column (Turner 2005, Turner et al. 2007, and Min and Harrison 1996, respectively). A Total Sky Imager allows determination of the fraction of the sky covered by clouds during daytime hours. Several radiometers measure upwelling and downwelling irradiances. Surface and tower meteorological instrumentation, a balloon borne sounding system and a radar wind profiler provide profiles of temperature, dew-point and winds.

In addition to the permanent instrumentation at the NSA, additional instruments were deployed for ISDAC. These included a shortwave spectroradiometer operating between 350 and 2200 nm for retrieving cloud optical depth (τ) and effective radius and a tandem differential mobility analyser for measuring aerosol size distribution and hygroscopicity in the diameter range between 0.01 and 0.6 μm . Ice fog and precipitation characteristics were measured by a fog device, a total precipitation sensor, a precipitation weighing sensor, and disdrometers (Gultepe et al. 2009). Table S1 summarizes the operating characteristics of all ground-based instruments, and Figure 1 shows photographs of the permanent and guest instrument facility at the Barrow NSA site.

3. Aircraft observations and operations

The primary observation platform, the NRC Convair-580 (Figure 2), was instrumented by Environment Canada, NRC, and other ISDAC partners for the in-situ measurements of clouds, aerosols and state parameters, and for active and passive remote sensing observations (Table S2 in on-line supplement). Measurements of both size-resolved and bulk cloud parameters were made from an unprecedented 20 instruments. The instruments were chosen based on experience obtained during M-PACE and other arctic experiments (e.g., Cober et al. 2001; Lawson et al. 2001). Additional instruments directly measured bulk extinction (Korolev extinctions) and condensed mass content (Counterflow Spectrometer and Impactor probe and deep-cone Nevzorov probe). Other instruments, such as a fast forward scattering spectrometer probe (FSSP), recorded the times between arrivals of particles at the sample volumes. Instruments without shrouds or inlets (e.g., Cloud Droplet Probe, CDP) were added to investigate how large crystal shattering on probe tips might amplify concentrations of particles with maximum dimensions (D) between 1 and 50 μm . Gayet et al. (1996), Field et al. (2003) and others have suggested such shattering occurs. To quantify uncertainties in size distributions, to ensure no data gaps in the event a probe malfunctioned and to test the consistency of multiple probes, redundancy was critical. A two-dimensional stereographic probe (2DS, Lawson et al. 2006), an imaging probe with 10 μm resolution and advanced electronics and optics, detected and imaged particles with $\sim 10 < D < 100 \mu\text{m}$ that have been difficult to measure with standard two-dimensional cloud (2DC) or Cloud Imaging Probes (CIP).

It was equally important to measure aerosol size, composition, concentration, morphology, optical, and nucleating properties. There were 12 instruments on the NRC Convair-580 for this purpose. A bifurcating valve was used so that most instruments drew

from an aerosol inlet outside of cloud and from a Counterflow Virtual Impactor (CVI) inlet in cloud, permitting characterization of the residual particles upon which cloud droplets and ice crystals form. The interior surface of the CVI was gold coated to identify possible influence of crystal impaction on the measured aerosol composition (Murphy et al. 2004). Total number concentrations were measured with condensation particle counters, and size distributions were measured using an Ultra-High Sensitivity Aerosol Spectrometer and a Passive Cavity Aerosol Spectrometer Probe (PCASP). A Continuous Flow Diffusion Chamber (CFDC) measured IN concentration, and a Droplet Measurement Technologies CCN counter measured at two supersaturations. A Time-Resolved Aerosol Collector collected particles for microscopic analysis (Laskin et al. 2006), and the first aircraft deployment of the Single Particle Laser Ablation Time of flight mass spectrometer (SPLAT) (Zelenyuk et al. 2009) provided single particle size and composition. All these instruments, except the PCASP, were operated behind the CVI. Aerosol optical properties, critical for knowledge of radiative effects, were measured by a Particle Soot Absorption Photometer, a nephelometer and a 3-laser photoacoustic and nephelometer (PASS-3) deployed on an aircraft for the first time that uses 405, 532, and 781 nm diode lasers aligned in an acoustic resonator to measure light absorption and total scattering. A combination of these probes allows direct determination of the wavelength dependence (Angstrom exponents) of aerosol absorption, scattering and single-scatter albedo (ω_0) (Flowers et al. 2010).

Passive and active remote sensing observations were made by a Ka-band up and down-looking radar and a NAWX (X-band, W-band) dual-polarization Doppler radar, as well as infrared thermometers, broadband radiometers, pyrgeometers and an up-looking G-band passive microwave radiometer. Location and temperature observations were

made, together with humidity measurements from a water vapor/CO₂ instrument and chilled-mirror hygrometers. Vertical air velocities were acquired by a Rosemount gust probe. Although there were some instrumentation failures, the redundancy allowed for almost complete data coverage of important parameters for the ISDAC period.

4. Experiment design and execution

Flight plans were developed by a dedicated flight management team using output from numerical weather prediction models, satellite imagery and real-time data from the NSA site. For a specific day, the plan was designed according to the anticipated cloud and aerosol conditions using an inventory of predetermined plans that had been separately formulated for a) missions or components of missions in the vicinity of Barrow; b) components of flights during transits to and from Barrow; and c) coordinated flights with the NASA B-200 and NOAA P-3 aircraft in the vicinity of Barrow. During the actual mission the on-board flight scientist modified the predetermined plan based on the actual conditions, such as the levels of the cloud and aerosol layers.

Figure 3 shows all flight tracks of the NRC Convair-580 during ISDAC. It flew the majority of the time in the vicinity of Barrow in order to compare with ground-based remote sensing measurements. Flight profiles consisted of i) spiral profiles over the NSA site, ii) constant altitude legs through cloud, iii) constant altitude legs above or below cloud, iv) missed approaches at the Barrow airport, and v) porpoising maneuvers inside cloud (i.e., ramped ascents and descents).

For logistical reasons, the NRC Convair-580 was based out of Fairbanks, Alaska. Because 180 minutes were spent flying between Barrow and Fairbanks for each day of flight operations, strategies were implemented to maximize the science return of these flights. First, at least two sorties were conducted for each flight day, with the NRC

Convair-580 landing at Barrow for refueling between sorties. Second, the NRC Convair-580 sampled cirrus on six transits (4, 5, 13, 19, 25 and 27 April 2008) to develop an in-situ climatology of the microphysical properties of arctic cirrus, about which little is known. On days when cirrus was not present, observations characterizing tropospheric aerosols were made.

5. Meteorological conditions

To understand the context of the ISDAC observations, the meteorological and surface conditions are summarized in this section. Meteorologically, during the first days of April the synoptic pattern was dominated by an upper-level trough in the Aleutians-Bering Strait area. A series of short wave systems propagated around this long wave trough, producing precipitation through deep layers over the North Slope as a succession of frontal systems moving through the area.

On 6 April the upper troposphere jet stream began to shift to a position well south of Alaska. A strong, deep high pressure system developed over the Yukon area, moving slowly northward across the North Pole and into Northern Russia by 14 April. During this period, the upper air synoptic pattern over Alaska was characterized by weak gradients with a high pressure system (1036 – 1044 hPa) dominating the weather for several days. This pushed an arctic air mass across the North Slope with north-easterly to easterly flow with temperatures averaging $-21.4\text{ }^{\circ}\text{C}$ between 8 April and 17 April.

During this period, a single layer stratocumulus cloud formed over Barrow on 8 April. The extensive low-level cloud formed midway between the ridge-line of the north-westward moving high pressure system and a weakening low to its west. The cloud existed along the southern and western edge of the upper level ridge, reminiscent of the stratocumulus often observed behind a mid-latitude cold front. As the synoptic system

moved towards the northwest bringing the ridgeline closer to Barrow, the stratocumulus gradually thinned.

The weather regime shifted to a distinctly different pattern on 17 April when a strong upper-level low moved across the North Slope. The surface temperature increased to an average of -8°C with a switch in wind direction from easterly to south-westerly. This system developed into a strong omega block with its ridge line passing south-to-north through central Alaska. This omega block stayed in position for 7 days, producing a succession of deep precipitating systems, each associated with shortwave troughs propagating around the ridge. During this period, a dense aerosol haze associated with Asian wild fires occurred on 19 April (Warneke et al. 2010) after the passage of a shortwave trough when the North Slope was in the warm sector behind a front. Some low-level clouds remained over Barrow. Back-trajectories reveal that the air above these low-level clouds originated in central Russia.

The block finally disappeared when a strong low moving east from Kamchatka eroded the ridge on 24 April. This low stalled in the Bering Strait where it gradually weakened even while dominating the weather over Barrow. As the low weakened Barrow became more influenced by a high pressure system over the Arctic Ocean, producing another single layered cloud system in weak easterly flow off the ocean on 26 April. Although many other excellent cases with varying degrees of complexity were sampled during ISDAC, preliminary focus has been on the 8, 19, and 26 April cases because of their extensive sampling and relatively simple structure.

6. Preliminary Results

Initial work has concentrated on evaluating the quality of the data, and characterizing the properties required for more detailed studies of cloud-aerosol

interactions. In this section, some of the observed aerosol and cloud conditions are characterized and results from preliminary scientific investigations summarized.

6.a Ice Nuclei Concentrations

The CFDC measured IN concentrations over a broad range of instrumental operating conditions. While ISDAC was the first campaign for this specific instrument, comparable IN measurements have been made in the Arctic with similar instruments (Rogers et al. 2001; Prenni et al. 2009). Upstream of the CFDC, the incoming airstream passes through a cyclone impactor to prevent particles with $D > \sim 1.5 \mu\text{m}$ from entering its chamber. The airstream is then exposed to the chosen operating conditions. All particles which have nucleated and grown to be ice crystals with $D > 5 \mu\text{m}$ within the chamber are counted at the exit. The IN concentrations were highly variable, ranging from less than 1 L^{-1} to more than 10 L^{-1} with isolated incidents of extremely high concentrations ($>100 \text{ L}^{-1}$).

Figure 4 shows the average concentration of IN in clear air conditions on the ambient inlet at processing temperatures between -10 and $-30 \text{ }^\circ\text{C}$ for all flights between 8 and 28 April sorted by water saturation to differentiate between heterogeneous nucleation modes. Data collected below water saturation, (i.e., supersaturations SS_w from -10 to 0%) represent ice crystals formed by deposition freezing whereas data collected above water saturation (0 to $10\% SS_w$) include all crystals nucleated by immersion, condensation, and depositional freezing. Due to limitations in internal mixing and residence time in the chamber, the CFDC likely undercounts nucleation due to contact freezing. Thus, the total IN concentration reported under conditions in which contact freezing is significant may be low. Significant IN concentrations were observed below $SS_w = 0\%$, indicating that depositional nucleation occurred. On all flights when data were collected both above and

below $SS_w = 0\%$, IN concentrations were higher for $SS_w > 0\%$. However, the increase in IN with water saturation was highly variable between days, which suggests that the relative importance of the freezing mechanisms varied. At times, such as in the arctic haze on 18 April the airborne particles were highly active as deposition nuclei. On this day, IN concentrations above water saturation were only $\sim 6\%$ higher than those observed below water saturation.

IN concentrations in the Arctic are generally low, making accurate IN measurements a challenge. Since data collected during times when IN concentrations were below the CFDC detection threshold are not included in the averages in Fig. 4, the averages represent an upper limit to IN concentration. For comparison, during periods when the IN counts were below the threshold, the data were reprocessed assuming the IN concentration was zero. These data, representing the lower limit of the measured IN concentrations, are also shown in Fig. 4. Overall, the average IN concentrations decrease by an average of 36%. In general, both the average and occasional spikes in IN concentrations observed during ISDAC are similar to previous springtime observations of IN during SHEBA. In contrast, measurements taken during M-PACE in the pristine fall season (27 September to 22 October 2004) were lower ($\leq 1 \text{ L}^{-1}$) and below the CFDC's detection threshold 85% of the time (Verlinde et al. 2007). Collectively, these data suggest a strong seasonal dependence of the aerosol available to act as IN.

6b. Aerosol Composition

SPLAT measures the size and composition with 1-sec resolution of individual aerosols in the 50 nm to 1 μm diameter range as well as particle number concentrations and asphericity factors. Size distributions were acquired with between 10 and 60 sec resolution, depending on the number concentrations. During ISDAC, SPLAT measured

the size of tens of millions of particles and characterized the composition of ~3 million. These data were used to extract the average aerosol density and densities of chemically resolved particle classes that were then used to obtain quantitative information on particle compositions. Particles sampled through the aerosol inlet characterize background aerosol outside of cloud and those sampled through the CVI inlet characterize particles activated as CCN and IN. By comparing the properties of CCN and IN particles to those below and above clouds, the types of particles most likely to activate can be quantified.

The SPLAT data indicate a wide range of particle compositions. Many aerosol layers had horizontal and vertical filamentous structures, in which aerosol number concentration, their size distributions, and compositions varied rapidly along the flight paths. On a number of flights, plumes containing high concentrations of transported biomass burning particles were encountered. Analysis of a fraction of the data acquired in ice clouds shows many IN were either metallic or composed of dust and that a significant fraction were as small as 100 nm.

A detailed analysis of the single layer stratocumulus cloud sampled on 26 April showed that nearly 90% of the particles were activated when this cloud formed. Figure 5 shows the individual particle compositions were varied and included fresh and processed sea salt particles, biomass burning particles, sulfates mixed with organics, and a large number of organic particles. The comparison between the two pie charts of particles below cloud (on left) and interstitial particles (i.e., those that were not activated on right) shows them to be exceptionally similar, indicating that the composition of particles that acted as CCN and those that did not was nearly identical. The particle densities show that sulfate rich particles are slightly more likely to be activated. The SPLAT size

distributions in Figure 6 show that the non-activated particles were appreciably smaller, providing a simple explanation for the difference in CCN activity.

6c. Aerosol Optical Properties

On 19 April 2008 a vast plume lofted from Siberian fires and transported over Alaska (Warnecke et al. 2009) was sampled. Large aerosol absorption indicative of absorbing carbonaceous aerosols and scattering signals was measured by the PASS-3 for a substantial fraction of time during transits between Fairbanks and Barrow. The aerosol was layered with large enhancements in scattering and absorption at different altitudes. The vertical distribution of ω_0 also showed a vertical structure indicating distinct optical properties for different layers. This information will be used to derive vertical heating rates caused by arctic aerosol that will influence both the dynamics and the clouds.

To understand the chemical origins of changes in observed optical properties the PASS-3 measurements were compared against simultaneous composition measurements made with SPLAT. Fig. 7a shows that, as expected, higher fractions of black carbon derived from SPLAT correspond to lower ω_0 at all three wavelengths. Lower ω_0 at 405 nm compared to 532 and 780 nm appears evident where higher concentrations of biomass burning and organic aerosols are present, indicating potential enhanced absorption by coatings and/or organic aerosols at 405 nm (Flowers et al. 2010). In Fig. 7b, higher light absorption, especially at 532 and 780 nm, corresponds to higher concentrations of black carbon inferred by SPLAT observations of both soot/sulfate and biomass burning particles. The relationship between optical properties is complex and depends strongly on the aerosol mixing state (Jacobsen 2001). Further analysis of the data should clarify this.

Examples above illustrate a wealth of chemical, microphysical and optical data on aged mixed organic, soot, sulfate, nitrate and salt plumes. The preliminary results show

that the aerosol chemistry and microphysics significantly affect the optical properties. The ISDAC data will be used to test physically-based representations of the relationships between particle size, composition and optical properties developed for climate models and will be especially useful for constraining models of aerosol absorption for arctic conditions.

6d. Investigations of Ice Crystal Shattering

To relate distributions of particle composition and size to the properties of clouds, accurate estimates of cloud microphysical properties are needed. One of the largest uncertainties in characterizing cloud microphysical properties is the uncertain contribution that small ice particles make to the total number concentration, extinction and mass of ice-phase and mixed-phase clouds. Investigations are reducing these uncertainties by comparing concentrations of small particles measured during ISDAC in overlapping size ranges.

Figure 8 shows the number concentration of particles with $3 < D < 50 \mu\text{m}$ measured by the FSSP, $N_{3-50,\text{FSSP}}$, as a function of that measured by the CDP, hereafter $N_{3-50,\text{CDP}}$, for observations made in cirrus between Fairbanks and Barrow. $N_{3-50,\text{FSSP}}$ is greater by one to two orders of magnitude than $N_{3-50,\text{CDP}}$, and $N_{3-50,\text{FSSP}}/N_{3-50,\text{CDP}}$ increases with the concentrations of particles with $D > 100 \mu\text{m}$ measured by CIP number 2 (CIP2). This systematic offset between $N_{3-50,\text{FSSP}}$ and $N_{3-50,\text{CDP}}$ only existed in ice-phase clouds, and not in lower-level liquid- and mixed-phase clouds dominated by water. This suggests shattering of large ice crystals on the FSSP inlet is causing the discrepancy. McFarquhar et al. (2007b) found similar discrepancies between a Cloud and Aerosol Spectrometer and CDP in tropical cirrus.

Processing of the 10 μm resolution 2D-S data eliminates many shattered particles using an inter-arrival time measurement, as shattered fragments often pass through the sample volume in clusters. Thus, a comparison of the concentrations of particles with $10 < D < 50 \mu\text{m}$ from the 2DS, hereafter $N_{10-50,2DS}$, against those derived from the FSSP ($N_{10-50,FSSP}$) offers another perspective for investigating shattering on the FSSP. Figure 9 shows that $N_{10-50,FSSP}$ is systematically higher than $N_{10-50,2DS}$. Because the ratio of the number concentration measured by the FSSP to that measured by both the 2DS (shattered particles removed) is dependent on the large ice crystal concentrations, this reconfirms earlier studies that showed measurements of small ice crystals may be affected by the shattering of large ice crystals on inlets and tips.

6e. Examination of Longevity of Mixed-Phase Clouds

Flight profiles executed through single-layer stratocumulus on 8 and 26 April 2008 are ideal for investigating cloud-aerosol interactions and factors responsible for the longevity of arctic mixed-phase clouds because the single layer allows the interactions of aerosols and clouds to be isolated without complications of interactions between multiple cloud layers. On the second sortie on 8 April (Figure 10), the NRC Convair-580 took off from Barrow and immediately ascended through cloud and above, flying between 1.0 and 1.3 km high on a 180 km leg relative to the ground sampling the aerosol above cloud west northwest of Barrow. Thereafter it descended below cloud to 0.5 km and briefly sampled residuals of precipitation particles from the CVI inlet. After briefly circling due to air traffic, it flew a constant altitude leg in cloud at 0.8 km, a leg below cloud at 0.5 to 0.6 km sampling from the aerosol inlet, and then another leg in cloud at altitudes between 0.8 and 1.0 km; these legs were approximately parallel to each other. The NRC Convair-580 then performed a spiral descent and ascent between 0.5 and 1.0 km over the NSA

site. The sortie was completed with a leg measuring aerosols above cloud ascending from 1.0 to 1.2 km, a leg porpoising from cloud top (0.9 to 1.2 km) to base (0.5 km), a leg through cloud (0.7 to 0.8 km) and a leg below cloud (0.5 km).

Although Figure 11 shows that the mixed-phase clouds observed on 8 April appear visually smooth, observations with the NRC X-band radar in Figure 12 show small-scale structure and inhomogeneities in the cloud microphysical characteristics. In particular, Doppler velocities (V_d) corrected for aircraft motion suggest regions of positive V_d (ascent) of about $1\text{-}2\text{ m s}^{-1}$ (blue color) in close proximity to regions of negative V_d (descent) of $1\text{-}4\text{ m s}^{-1}$. The close coupling of these downward and upward V_d is a first indicator of the role of dynamics, mixing and turbulence in these cloud systems (e.g., Shupe et al. 2008).

Figure 13 represents a two-dimensional view of the horizontal and vertical variations in bulk microphysical characteristics derived from probes on the ramped ascents and descents of the NRC Convair-580 during its 180 km long porpoising leg perpendicular to the wind direction through cloud. The blue shading represents the location of the liquid cloud layer identified from the cloud probes. Some noteworthy features are evident in Fig. 13: 1) there is a strong temperature inversion of about 5°C capping the vertical growth of the cloud; 2) the sum of the concentrations derived from the FSSP and the PCASP is close to constant throughout and below cloud; 3) there is a gradual increase in LWC with height in the cloud; and 4) although there is a reduction in the concentration and IWC from larger sized ice crystals observed by the 2DP near cloud top, there is otherwise no large variation with height. Inspection of ice crystal images indicated that both large and small crystals occurred throughout cloud. The presence of small particles everywhere indicates that either nucleation was occurring throughout

cloud or that there was significant vertical mixing. Although the microphysical inhomogeneities and the variability in cloud height for the single-layer stratocumulus sampled on 26 April were not as large (figure not shown), similar trends were noted. McFarquhar et al. (2007a) also noted similar trends in the single layer stratocumulus sampled during M-PACE.

The combination of the inhomogeneities in the radar data and the nearly constant ice profiles through cloud indicates vertical mixing driven by dynamics or turbulence. Preliminary simulations using the models of Korolev and Isaac (2003) and Korolev and Field (2008) show that harmonic oscillations consistent with the observed velocity fields provide the conditions necessary for the indefinitely long maintenance of these mixed-phase clouds when no precipitation reaches the surface. Like most stratocumulus this cloud layer was maintained by cloud top radiative cooling that drove these circulations. In addition to dynamical factors, the longevity of low level arctic stratus depends on cloud microphysics, which helps control liquid-to-ice conversion and precipitation rates. Since microphysics process rates are not measured directly, they can be tested via numerical modeling used to quantify these processes. Figure 14 shows one example where a high-resolution model with size-resolved liquid and ice microphysics (Fan et al. 2009) simulated a quasi-steady state mixed-phase single-layer stratocumulus with a structure similar to that observed on 26 April. The agreement between observations and models is obtained when ice nucleation is constrained with observed ice concentrations of about 4 L^{-1} . Ice nucleation is needed to maintain the ISDAC mixed-phase clouds that are driven only by cloud-top radiative cooling because of the Bergeron-Findeisen process.

An earlier study over the ice-free ocean during M-PACE demonstrated that although many models could maintain a mixed-phase cloud they underpredicted liquid

water path and overpredicted precipitation (Klein et al. 2009). These model shortcomings are expected to be exposed more fully in ISDAC case studies when the ocean is mostly ice-covered, surface sensible and latent heat fluxes are substantially reduced, and boundary layer clouds are driven primarily by cloud-top radiative cooling, making them sensitive to the presence of liquid. This stronger coupling between dynamics and microphysics in springtime arctic clouds hence provides a more stringent test for constraining key model parameters.

6f. Aerosol Effects on Cloud

One science question addressed by ISDAC is the influence of aerosol on cloud microphysical properties. This influence is illustrated in Figure 15, which compares mean number concentrations of cloud droplets and sub-cloud aerosol particles larger than 0.1 μm on six different flights. The droplet and aerosol number concentrations are highly correlated, with some evidence of non-linear dependence, possibly due to suppressed supersaturation at higher aerosol concentrations. This is qualitatively consistent with expectations and has been previously observed (Leitch et al. 1986; 1996). However, further work is needed to combine these data with updraft velocity and aerosol size and composition data to quantitatively test understanding of aerosol effects on droplet formation. Previous efforts in this area (e.g., Conant et al. 2004, Meskhidze et al. 2005; Fountoukis et al. 2007) had and to some extent used bulk composition data, but did not have single-particle composition data to test the dependence of this agreement on single-particle composition.

The influence of aerosols on clouds is further illustrated in Figure 16 where the frequency distribution of cloud droplet number concentrations measured by the FSSP for flights in single-layer stratocumulus on 8 and 26 April are shown. The concentrations are

greater for the larger aerosol concentrations observed on 26 April compared to those observed on 8 April, consistent with past studies of arctic clouds (Gultepe and Isaac 2002). It should also be noted that both the aerosol and droplet concentrations varied by up to a factor of two between the east and west side of the legs flown on 26 April. Future work will explore the dependence of droplet size, ice crystal concentration and ice particle size on aerosol concentration stratifying the extensive ISDAC data according to location in cloud, meteorological forcing and surface conditions.

Cloud-resolving, single-column, regional and global models are being applied to the ISDAC data to separate aerosol effects from meteorological and surface effects. To separate the influence of surface boundary and aerosol conditions, a series of 4 simulations are planned: M-PACE aerosol and surface boundary conditions; ISDAC aerosol and surface boundary conditions; M-PACE aerosol and ISDAC surface boundary conditions; and ISDAC aerosol and M-PACE surface boundary conditions. The surface boundary conditions are different for ISDAC and M-PACE because more extensive ocean water is present in fall compared to spring.

6g. Surface Spectroradiometer Data

Figure 17 illustrates how surface spectroradiometer data can diagnose the impact of cloud phase on the radiation budget. In Fig. 17a, three downwelling flux spectra are shown, each obtained 2.5 hours into the first and second flights of 24 April and the second flight of 26 April. Preliminary analysis of in situ cloud microphysical data indicate that the three clouds sampled during these flights were composed of primarily ice (first flight, 24 April), a roughly equal mixture of water and ice (second flight, 24 April), and primarily liquid (second flight 26 April). Noticeable contrasts appear in both the spectral dependence and total flux in the 1.6 μm window. In Fig. 17b, a time series is

plotted for each flight of the ratio of the flux in the 1.6 μm window to the total broadband flux. At fixed total cloud optical depth and for the solar zenith angle range considered, these differences in this flux ratio correspond to differences of 5-10 W m^{-2} between ice-dominated and liquid-dominated cloud microphysics. Hence, the ISDAC data directly reveal the influence of cloud phase on surface shortwave radiation.

7. Summary and Future Work

The data collected by 41 instruments on the NRC Convair-580 during ISDAC constitute the most complete airborne dataset on aerosol microphysical and radiative properties and on arctic boundary layer and cirrus microphysical properties ever collected over the North Slope of Alaska. This paper described the science questions that motivated ISDAC and some preliminary science findings. The preliminary analysis presented here has also shown the importance of considering dynamical, mixing and turbulent processes when examining aerosol indirect and semi-direct effects on clouds. Most ISDAC data are available from the ARM data archive at <http://www.archive.arm.gov/>, and hence are open to additional investigations from the scientific community.

In addition to fundamental studies of cloud and aerosol interactions and the modeling studies described above, applications summarized in Table S3 in the on-line supplement are being explored. For each application, ISDAC data are input to a model, retrieval, or statement of mass balance, and other ISDAC data are compared with the model output, retrieval, or mass balance. For example, cloud water closure provides a consistency test for the bulk and size-resolved measurements.

During the next few years the ISDAC data will also be used to (a) test current understanding of droplet and crystal nucleation, (b) improve understanding of aerosol effects on the lifecycle and radiative properties of mixed-phase clouds, (c) evaluate and

improve the representation of mixed- and ice-phase cloud processes in a variety of cloud models, (d) test the impact of isolated processes, such as droplet nucleation, on the modeled fields and (e) test and improve remote sensing retrievals of cloud and aerosol properties from the surface and from space so that the ISDAC observations can be extended to longer time periods. Both the spiral profiles over the NSA site and some CloudSat/CALIPSO underpass flights are available for testing the retrievals. The results for the ISDAC period, when aerosol concentrations were relatively high, will be contrasted with those for the more pristine M-PACE period, to assess the ability of cloud models to simulate the differences. These evaluations will guide improvements in the cloud models for the purpose of improving simulations of clouds and aerosols across the arctic basin. Ultimately these improvements will be used to explain the role of clouds and aerosols in the loss of arctic sea ice and improve reliability of projections of future changes in arctic climate.

Acknowledgements: ISDAC was supported by the United States Department of Energy (DOE) Atmospheric Radiation Measurement (ARM) Program Climate Research Facility, the DOE Atmospheric Sciences Program, the National Research Council of Canada and Environment Canada. We are indebted to the many scientists and staff who participated in ISDAC, without whose efforts this work would have been possible. Mohammed Wasey and Rob Reed provided tech support for the instrumentation on the NRC Convair-580. The assistance of Robert Jackson in preparing the manuscript was appreciated. Data were obtained from the ARM program archive, sponsored by DOE, Office of Science, Office of Biological and Environmental Research Environmental Science Division. This work was sponsored by grants DE-FG02-02ER63337, DE-FG02-07ER64378, DE-FG02-06ER64167, and DE-FG02-09ER64770 as part of ARM. The Pacific Northwest National

Laboratory is operated for DOE by Battelle Memorial Institute under contract DE-AC06-76RLO1830. LANL acknowledges ongoing support from ASR for PASS-3 capability and the OBER-RCI project for analysis of data.

References

- ACIA, Arctic Climate Impact Assessment - Scientific Report, 1046 (Cambridge Univ. Press), 2005.
- Barrie, L. A., 1986: Arctic air pollution: An overview of current knowledge. *Atmos. Environ.*, **20**, 643– 663.
- Boe, J., A. Hall, X. Qu, 2009: September sea-ice cover in the Arctic projected to vanish 2100. *Nature Geoscience*, doi:10.1038/ngeo467.
- Cober, S. G., G. A. Isaac, A. V. Korolev, and J. W. Strapp, 2001: Assessing cloud-phase conditions. *J. Appl. Meteor.*, **40**, 1967-1983.
- Comiso, J.C., C.L. Parkinson, R. Gersten, and L. Stock, 2008: Accelerated decline in the Arctic sea ice cover. *Geophys. Res. Lett.*, **35**, doi:10.1029/2007GL031972.
- Conant, W.C., and Coauthors, 2004: Aerosol–cloud drop concentration closure in warm cumulus, *J. Geophys. Res.*, **109**, D13204, doi:10.1029/2003JD004324.
- Curry, J.A., J. Schramm, and E.E. Ebert, 1993: Impact of clouds on the surface radiation budget of the Arctic Ocean. *Meteor. and Atmos. Phys.*, **57**, 197-217.
- Curry, J. A., and Coauthors, 2000: FIRE Arctic Clouds Experiment. *Bull. Amer. Meteor. Soc.*, **81**, 5–30.
- Dong, X., G. G. Mace, P. Minnis, and D. F. Young, 2001: Arctic stratus cloud properties and their effect on the surface radiation budget: Selected cases from FIRE ACE, *J. Geophys. Res.*, **106**, 15297-15312.
- Fan, J., M. Ovtchinnikov, J. M. Comstock, S. A. McFarlane, and A. Khain, 2009: Ice formation in Arctic mixed-phase clouds: Insights from a 3-D cloud-resolving model with size-resolved aerosol and cloud microphysics, *J. Geophys. Res.*, **114**, D04205, doi:10.1029/2008JD010782.

- Field, P.R., R. Wood, P. R. A. Brown, P. H. Kaye, E. Hirst, R. Greenaway, and J. A. Smith, 2003: Ice Particle Interarrival Times Measured with a Fast FSSP. *J. Atmos. Ocean. Tech.* **20**, 249–261.
- Flanner, M.G., C.S. Zender, P.G. Hess, N. Mahowald, T.H. Painter, V. Ramanathan, and P.J. Rasch, 2009: Springtime warming and reduced snow cover from carbonaceous particles. *Atmos. Chem. Phys.*, **9**, 2481-2497.
- Flowers, B., M.K. Dubey, E.A. Stone, J.J. Schauer, C. Mazzoleni and S. Kim, 2010: Optical properties of mixed carbonaceous aerosols observed at Jeju South Korea with a 3-laser photoacoustic spectrometer: Correlation with chemical composition. *Geophys. Res. Lett.*, Under review.
- Fountoukis, C., and Coauthors, 2007: Aerosol-cloud drop concentration closure for clouds sampled during ICARTT, *J. Geophys. Res.*, **112**, D10S30, doi:10.1029/2006JD007272.
- Fridlind, A. M., A. S. Ackerman, G. McFarquhar, G. Zhang, M. R. Poellot, P. J. DeMott, A. J. Prenni, and A. J. Heymsfield, 2007: Ice properties of single-layer stratocumulus during the Mixed-Phase Arctic Cloud Experiment (M-PACE): Part II, Model results. *J. Geophys. Res.*, **112**, D24202, doi:10.1029/2007JD008646.
- Garrett T.J., and C. Zhao, 2006: Increased Arctic cloud long wave emissivity associated with pollution from mid-latitudes, *Nature*, **440**, 787-789.
- Gayet, J. G., G. Febvre, and H. Larsen, 1996: The reliability of the PMS FSSP in the presence of small ice crystals. *J. Atmos. Oceanic Technol.*, **13**, 1300–1310.
- Gultepe, I., and G. A. Isaac, 2002: The effects of air-mass origin on Arctic cloud microphysical parameters during FIRE.ACE. *J. Geophys. Res.–Ocean*, **107**, SHE 4-1 to 4-12.

- Gultepe, I., G. Pearson J. A. Milbrandt, B. Hansen, S. Platnick, P. Taylor, M. Gordon, J. P. Oakley, and S.G. Cober, 2009: The fog remote sensing and modeling (FRAM) field project. *Bull. Amer. Meteor. Soc.*, **90**, 341-359.
- Hansen, A.D.A., T.J. Conway, L.P. Strele, B.A. Bodhaine, K.W. Thoning, P. Tans and T. Novakov, 1989: Correlations among combustion effluent species at Barrow, Alaska: Aerosol black carbon, carbon dioxide, and methane. *J. Atmos. Chem.*, **9**, 283 – 299.
- Hara, K., S. Yamagata, T. Yamanouchi, K. Sato, A. Herber, Y. Iwasaka, M. Nagatani, and H. Nakata, 2003: Mixing states of individual aerosol particles in spring Arctic troposphere during ASTAR 2000 campaign, *J. Geophys. Res.*, 108(D7), AAC2-1-12.
- Harrington, J.Y., and P.Q. Olsson, 2001: On the potential influence of ice nuclei on surface-forced marine stratocumulus cloud dynamics, *J. Geophys. Res.*, **106**, 27473-27484.
- Harrington, J.Y., T. Reisin, W.R. Cotton and S.M. Kreidenweis, 1999: Cloud resolving simulations of Arctic stratus. Part II: Transition-season clouds, *Atmos. Res.*, **51**, 45-75.
- Hobbs, P.V., and A.L. Rangno, 1998: Microstructures of low and middle-level clouds over the Beaufort Sea, *Quart. J. Roy. Meteor. Soc.*, **124**, 2035-2071.
- Inoue, J., J. Liu, J.O. Pinto and J.A. Curry, 2006: Intercomparison of arctic regional climate models: Modeling clouds and radiation for SHEBA in May 1998. *J. Climate*, **19**, 4167-4178.

- Intrieri, J.M., M.D. Shupe, T. Uttal and B.J. McCarty, 2002: An annual cycle of Arctic cloud characteristics observed by radar and lidar at SHEBA, *J. Geophys. Res.*, *107*, 10.1029/2000JC000423.
- IPCC, 2007: *Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change* [Solomon, S., D. Qin, M. Manning (eds.)].
- Jacob, D.J., and Co-authors, 2009: The ARCTAS aircraft mission: design and execution, *Atmos. Chem. Phys. Discuss.*, **9**, 17073-17123.
- Jacobsen, M.Z., 2001: Strong radiative heating due to the mixing state of black carbon. *Nature*, **409**, 695-697.
- Jiang, H., W. R. Cotton, J. O. Pinto, J. A. Curry, and M. J. Weissbluth, 2000: Cloud resolving simulations of mixed-phase Arctic stratus observed during BASE: Sensitivity to concentration of ice crystals and large-scale heat and moisture advection, *J. Atmos. Sci.*, **57**, 2105-2117.
- Kay, J. E., and A. Gettelman, 2009: Cloud influence on and response to seasonal Arctic sea ice loss, *J. Geophys. Res.*, *114*, D18204, doi:10.1029/2009JD011773.
- Klein, S.A., and Co-authors, 2008: Intercomparison of model simulations of mixed-phase clouds observed during the ARM Mixed-Phase Arctic Cloud Experiment. Part I: Single layer cloud. *Quart. J. Roy. Meteor. Soc.*, **135**, 979-1002.
- Korolev, A.V., and G.A. Isaac, 2003: Phase transformation of mixed-phase clouds. *Quart. J. Roy. Meteor. Soc.*, **129**, 19-38.
- Korolev, A.V., and P.R. Field, 2008: The effect of dynamics on mixed-phase clouds: theoretical considerations. *J. Atmos. Sci.*, **65**, 66-86.

- Laskin, A., J.P. Cowin, M.J. Iedema, 2006: Analysis of individual environmental particles using modern methods of electron microscopy and X-ray microanalysis. *J. Electron Spectroscopy and Related Phenomena*, **150**, 260-274.
- Lawson, R., B.A. Baker, C.G. Schmitt, and T.L. Jensen, 2001: An overview of microphysical properties of Arctic clouds observed in May and July 1998 during FIRE ACE, *J. Geophys. Res.*, 106, 14989-15014.
- Lawson R. P., D. O'Connor, P. Zmarzly, K. Weaver, B. A. Baker, Q. Mo, and H. Jonsson, 2006: The 2D-S (stereo) probe: Design and preliminary tests of a new airborne, high-speed, high-resolution particle imaging probe, *J. Atmos. Oceanic Technol.*, 23, 1462–1477.
- Leaitch, W.R., Hoff, R.M., Melnichuk, S., and Hogan, .W., 1984: Some chemical and physical properties of the Arctic winter aerosol in northeastern Canada. *J. Climate Appl. Meteorol.*, 23, 916-928.
- Leaitch, W.R., Strapp, J.W., Isaac, G.A., and Hudson, J.G., 1986: Cloud droplet nucleation and cloud scavenging of aerosol sulphate in polluted atmospheres. *Tellus*, **38B**, 328-344.
- Lubin, D. and A.M. Vogelmann, 2006: A climatologically significant aerosol longwave indirect effect in the Arctic. *Nature*, 439, 453-456.
- McConnell, J. R., and Co-authors, 2007: 20th-Century Industrial black carbon emissions altered Arctic climate forcing, *Science*, **317**, 1381–1384.
- McFarquhar, G.M., G. Zhang, M.R. Poellot, G.L. Kok, R. McCoy, T. Tooman, and A.J. Heymsfield, 2007a: Ice properties of single layer stratocumulus during the Mixed-Phase Arctic Cloud Experiment (MPACE). Part I: Observations. *J. Geophys. Res.*, **112**, D24202, doi:10.1029/2007JD008646.

- McFarquhar, G.M., J. Um, M. Freer, D. Baumgardner, G.L. Kok and G. Mace, 2007b: The importance of small ice crystals to cirrus properties: Observations from the Tropical Western Pacific International Cloud Experiment (TWP-ICE). *Geophys. Res. Lett.*, *34*, L13803, doi:10.1029/2007GL029865.
- Meskhidze, N., A. Nenes, W. C. Conant, and J. H. Seinfeld, 2005: Evaluation of a new cloud droplet activation parameterization with in situ data from CRYSTAL-FACE and CSTRIFE, *J. Geophys. Res.*, *110*, D16202, doi:10.1029/2004JD005703.
- Min, Q. and L.C. Harrison, 1996: Cloud properties derived from surface MFRSR measurements and comparison with GOES results at the ARM SGP site. *Geophys. Res. Lett.*, **23**, 1641-1644.
- Morrison H., J. O. Pinto, J. A. Curry, and M. McFarquhar, 2008: Sensitivity of modeled arctic mixed-phase stratocumulus to cloud condensation and ice nuclei over regionally-varying surface conditions, *J. Geophys. Res.*, *113*, D05203, doi:10.1029/2007JD008729.
- Morrison, H., and Co-authors, 2009: Intercomparison of model simulations of mixed-phase clouds observed during the ARM Mixed-Phase Arctic Cloud Experiment, Part II: Multi-layered cloud, *Quart. J. Roy. Meteorol. Soc.*, **135**, 1003-1019.
- Murphy, D. M., Cziczo, D. J., Hudson, P. K., Thomson, D. S., Wilson, J. C., Kojima, T., and Buseck, P. R., 2004: Particle generation and resuspension in aircraft inlets when flying in clouds, *Aerosol Sci. Technol.*, *38*, 400–408.
- Pinto, J.O., 1998: Autumnal mixed-phased cloudy boundary layers in the Arctic, *J. Atmos. Sci.*, *55*, 2016-2038.

- Prenni, A.J., P.J. DeMott, D.C. Rogers, S.M. Kreidenweis, G.M. McFarquhar, G. Zhang and M.R. Poellot, 2009: Ice nuclei characteristics from M-PACE and their relation to ice formation in clouds, *Tellus B*, In press.
- Quinn, P.K., T.L. Miller, T.S. Bates, J.A. Ogren, E. Andrews, and G.E. Shaw, 2002: A 3-year record of simultaneously measured aerosol chemical and optical properties at Barrow, Alaska. *J. Geophys. Res.*, 107(D11), doi:10.1029/2001JD001248.
- Quinn, P. K., and Co-authors, 2008: Short-lived pollutants in the Arctic: their climate impact and possible mitigation strategies. *Atmos. Chem. Phys.*, 8, 1723–1735.
- Rogers, D.C., P.J. DeMott and S.M. Kreidenweis, 2001: Airborne measurements of tropospheric ice-nucleating aerosol particles in the Arctic spring, *J. Geophys. Res.*, 106, 15,053-15,063.
- Sharma, S. , D. Lavoue', H. Cachier, L.A. Barrie, and S.L. Gong, 2004, Long-term trends of the black carbon concentrations in the Canadian Arctic *J. Geophys. Res.*, 109, doi:10.1029/2003JD004331.
- Shaw, G.E., 1982: Atmospheric turbidity in the polar regions. *J. Appl. Meteorol.*, 21, 1080-1088.
- Sherwood, S., 2002: Aerosols and ice particle size in tropical cumulonimbus. *J. Climate*, 15, No. 9, 2002, pp 1051-1063.
- Shindell, D., and G. Faluvegi, 2009: Climate response to regional radiative forcing during the twentieth century, *Nature Geosci.*, 2, 294-300, DOI: 10.1038/NGEO473.
- Shindell, D., and Co-authors, 2008: A multi-model assessment of pollution transport to the Arctic, *Atmos. Chem. Phys.*, 8, 5353-5372.
- Shupe, M.D., T. Uttal, and S.Y. Matrosov, 2005: Arctic cloud microphysics retrievals from surface-based remote sensors at SHEBA, *J. Appl. Meteor.*, 44, 1544–1562.

- Shupe, M. D., S.Y.Y. Matrosov, and T. Uttal, 2006: Arctic mixed-phase cloud properties derived from surface-based sensors at SHEBA. *J. Atmos. Sci.*, **63**, 697-811.
- Shupe, M. D., P. Kollias, P. O. G. Persson, and G. M. McFarquhar, 2008: Vertical motions in Arctic stratiform mixed-phase clouds. *J. Atmos. Sci.*, **65**, 1304-1322.
- Stohl, A., 2006: Characteristics of atmospheric transport into the arctic troposphere. *J. Geophys. Res.*, **111**, D11306, doi:10.1029/2005JD006888.
- Stroeve, J., M.K. Holland, W. Meier, T. Scambos, M. Serreze, 2007: Arctic sea ice decline: faster than forecast. *Geophys. Res. Lett.*, **34**, L09501, doi:10.1029/2007GL029703.
- Sun, Z., and K.P. Shine, 1994: Studies of the radiative properties of ice and mixed-phase clouds. *Quart. J. Roy. Meteor. Soc.*, **120**, 111-137.
- Turner, D.D., 2005: Arctic mixed-phase cloud properties from AERI-lidar observations: Algorithm and results from SHEBA. *J. Appl. Meteor.*, **21**, 1790-1808.
- Turner, D.D., S.A. Clough, J.C. Liljegren, E.E. Clothiaux, K. Cady-Pereira, and K.L. Gaustad, 2007: Retrieving liquid water path and precipitable water vapor from Atmospheric Radiation Measurement (ARM) microwave radiometers. *IEEE Trans. Geosci. Remote Sens.*, **45**, 3680-3690, doi:10.1109/TGRS.2007.903703.
- Uttal, T., and Co-authors, 2002: The Surface Heat Budget of the Arctic Ocean, *Bull. Amer. Meteor. Soc.*, **83**, 255-275.
- Vavrus, S., 2004: The impact of cloud feedbacks on Arctic climate under greenhouse forcing, *J. Climate*, **17**, 603–615.
- Verlinde, J., and Co-authors, 2007: The mixed-phase Arctic cloud experiment, *Bull. Am. Meteorol. Soc.*, **88**, 205-221.

- Walsh, J.E., and W.L. Chapman, 1998: Arctic cloud-radiation-temperature associations in observational data and atmospheric reanalyses, *J. Climate*, *11*, 3030-3045.
- Wang, M., and J.E. Overland, 2009: A sea ice free summer Arctic within 30 years?, *Geophys. Res. Lett.*, *36*, L07502, doi:10.102009GL037820.
- Warneke, C., and Co-authors, 2009: Biomass burning in Siberia and Kazakhstan as an important source for haze over the Alaskan Arctic in April 2008, *Geophys. Res. Lett.*, *36*, L02813, doi:10.1029/2008GL036194.
- Warneke, C., and Co-authors, 2010: An important contribution to springtime Arctic aerosol from biomass burning in Russia. *Geophys. Res. Lett.*, *37*, L01801, doi:10.1029/2009GL041816.
- Yum, S.S., and J. G. Hudson, 2001: Vertical distributions of cloud condensation nuclei spectra over the Springtime Arctic Ocean. *J. Geophys. Res.*, **106**, 15045-15052.
- Zelenyuk A., J. Yang, D. Imre, and E. Choi, 2009: SPLAT II: An aircraft compatible, ultra-sensitive, high precision instrument for in-situ characterization of the size and composition of fine and ultrafine particles. *Aerosol Science and Technology* *43*:411-424.

Tables Captions

Table 1: The primary science questions addressed during ISDAC.

Figure Captions

Figure 1: Photographs of a) some of the guest instrumentation deployed at the NSA site for ISDAC (Photo credit: I. Gultepe) and of the permanent Barrow NSA site (Courtesy: U.S. Department of Energy Atmospheric Radiation Measurement Program). Instruments and derived quantities are listed in Table S1. Guest platform's base distance above snow surface (ground) was 1.2 (2.5) m. The top platform is 5 m from the ground. There were no known sources of aerosol particles or gases upwind direction of the NSA site. The NSA site was 2 km south of the Arctic Ocean and 10 km east of Barrow.

Figure 2: Photo of NRC Convair-580 taking off from Fairbanks during ISDAC. Multiple probes can be seen hanging from wings.

Figure 3: Flight paths followed by the NRC Convair-580 for different days during ISDAC. Inset shows close up around Barrow where the majority of flying was conducted. Different line types represent first, second and third flight of day (where day refers to local day of flight).

Figure 4: Average IN concentrations measured below (-10 to 0% SS_w) and above water saturation (0 to +10% SS_w) shown as solid diamonds and triangles, respectively, as function of flight number. In addition, lower limits to the IN concentrations below (-10 to 0% SS_w) and above water saturation (0 to +10% SS_w) are shown as open diamonds and triangles, respectively. Data in the figure were collected by sampling on the ambient inlet during clear air conditions.

Figure 5: Pie charts showing the compositions of particles below cloud (left) and the composition of interstitial particles in cloud that were not activated. Observations acquired over 19 minute period on 26 April with interstitial particles sampled for 136 s.

Figure 6: The SPLAT measured size distribution of the particles that activated to form cloud droplets (dotted) and of particles that were not activated (solid). Observations acquired on 26 April.

Figure 7: a) Measured ω_0 (780, 532, 405nm) for selected periods on 19 April 2008. Chemical composition of aerosol derived from SPLAT also shown. b) Absorption (left axis) at 3 wavelengths and black carbon mass concentration (right axis) estimated by analysis of SPLAT observations over same time periods (includes black carbon in soot-sulfate and black carbon in biomass burning particle types assuming a 5% weight fraction measured in-situ during ARCPAC for these plumes). Observations made in clear sky conditions, except for 2052 to 2055 (about 20 s collected in ice-phase clouds) and 0203 to 0205 (collected in liquid-phase clouds)

Figure 8: $N_{3-50,FSSP}$ as function of $N_{3-50,CDP}$ for measurements made in cirrus during transits between Fairbanks and Barrow on 4, 5, 13, 19 and 25 April 2008. Different dates are indicated by different symbols. Colors indicate different concentration of particles with $D > 100 \mu\text{m}$ measured by the CIP2.

Figure 9: $N_{3-50,2DS}$ as function of $N_{3-50,FSSP}$ for measurements made in cirrus during transits between Fairbanks and Barrow on 4, 5, 13, 19 and 25 April 2008. Different dates are indicated by different symbols. Colors indicate different concentration of particles with $D > 100 \mu\text{m}$ measured by the CIP2.

Figure 10: Flight track flown by NRC Convair-580 on second flight of 8 April. Constant altitude flight legs flown above, below and within cloud, together with ramped ascents and descents through cloud and spirals over NSA site depicted. Blue represents locations where clouds were sampled, with cloud identified as locations where 10-s averaged CSI total water content $> 0.001 \text{ g m}^{-3}$.

Figure 11: Image of single-layer stratocumulus sampled by NRC Convair-580 on 8 April 2008. Glory and shadow of aircraft are seen in photo. Photo taken by Alexei Korolev when NRC Convair-580 was executing flight leg above stratocumulus.

Figure 12: Cross-section of reflectivity (Z) and Doppler velocity (V_d), with negative values indicating downward motion, measured by NRC X-band radar during near constant altitude leg flight above single-layer stratocumulus on 8 April. Contribution of aircraft to V_d has been removed (ground is shown as white $\sim 0 \text{ m s}^{-1}$ in V_d image).

Figure 13: Vertical profile of a) temperature, b) cloud droplet number concentration from FSSP (N_d) and aerosol concentration from PCASP (N_a), c) $N_d + N_a$, d) liquid water content derived from FSSP size distribution and directly measured by Nevzorov probe, e) ice water content derived from size distribution measured by 2DP, and f) ice crystal concentration derived from 2DP. Each vertical profile was obtained during a single ramped ascent or descent during the leg when NRC Convair-580 porpoised from cloud top to bottom during Sortie 16 on 8 April 2008. Blue shading, based on analysis of individual profiles, represents location of liquid cloud layer on each profile.

Figure 14: Model predicted (lines) and observed (diamonds) mean vertical profiles of mixed-phase cloud parameters (liquid, Q_l , and ice, Q_i , mass mixing ratios, droplet, N_d , and ice particle, N_i , number mixing ratios and liquid water condensate fraction) for Sortie # 31 on 26 April 2008. Shaded area and horizontal lines indicate 15 to 85 percentile ranges for simulated and measured parameters, respectively. For N_d , N_i , note that when air density is about 1 kg m^{-3} , $1 \text{ mg}^{-1} \sim 1 \text{ cm}^{-3}$.

Figure 15: Mean concentrations of cloud droplets and sub-cloud aerosol particles larger than $0.1 \mu\text{m}$ on 6 different flights during ISDAC.

Figure 16: Frequency distribution of number concentration measured by FSSP in liquid and mixed-phase clouds for single-layer stratocumulus sampled on 8 and 26 April 2008. Each measurement represents a 1-s average or approximately 120 m of track length.

Figure 17. (a) Downwelling surface spectral flux obtained from the ASD (Inc.) spectroradiometer at NSA under the first and second flights of the NRC Convair-580 on 24 April and under the first flight on 26 April. The spectra were obtained approximately 2.5 hours into each flight. (b) For the duration of these three flights, the ratio of the surface flux in the 1.6 μm window to the broadband flux, in five minute intervals.

Tables

<i>How do properties of the arctic aerosol during April differ from those measured during the Mixed-Phase Arctic Cloud Experiment (M-PACE) in October?</i>
<i>To what extent do different properties of the arctic aerosol during April produce differences in the microphysical and macrophysical properties of clouds and the surface energy balance?</i>
<i>To what extent can cloud models and the cloud parameterizations used in climate models simulate the sensitivity of arctic clouds and the surface energy budget to the differences in aerosol between April and October?</i>
<i>How well can long-term surface-based measurements at the ARM Climate Research Facility (ACRF) North Slope of Alaska (NSA) locale provide retrievals of aerosol, cloud, precipitation, and radiative heating in the Arctic?</i>

Table 1: The primary science questions addressed during ISDAC.

Figures

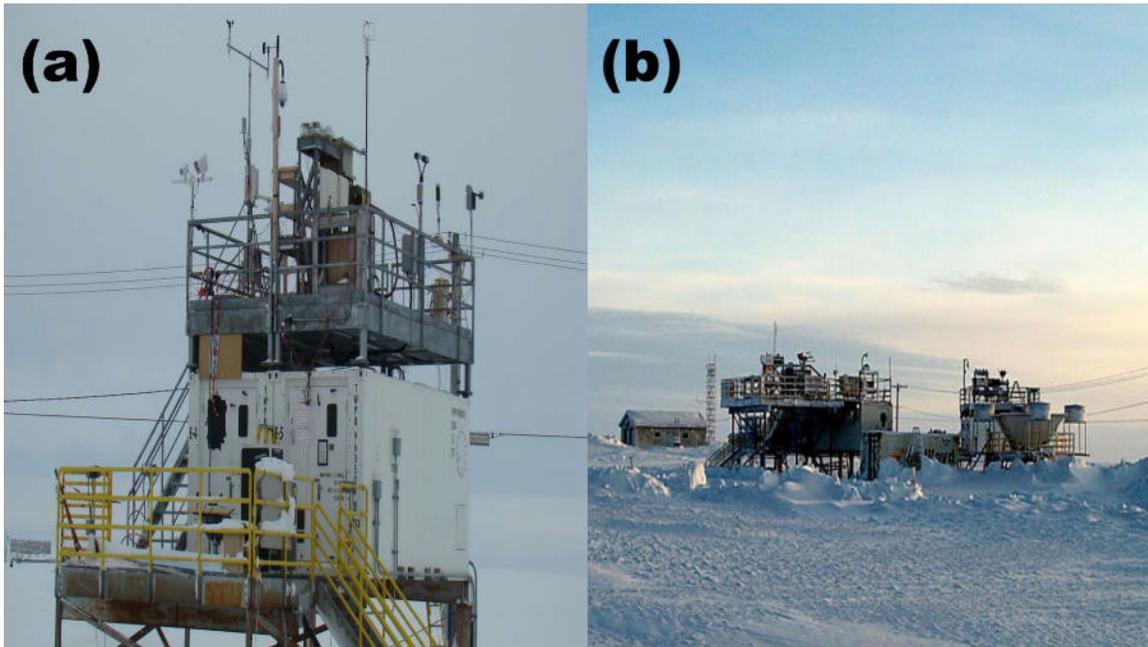


Figure 1: Photographs of a) some of the guest instrumentation deployed at the NSA Site (Photo credit: I. Gultepe) and of the permanent Barrow NSA site (Courtesy: U.S. Department of Energy Atmospheric Radiation Measurement Program). Instruments and derived quantities are listed in Table S1. Guest platform's base distance above snow surface (ground) was 1.2 (2.5) m. The top platform is 5 m from the ground. There were no known sources of aerosol particles or gases upwind direction of the NSA site. The NSA site was 2 km south of the Arctic Ocean and 10 km east of Barrow.



Figure 2: Photo of NRC Convair-580 taking off from Fairbanks during ISDAC. Multiple probes can be seen hanging from wings.

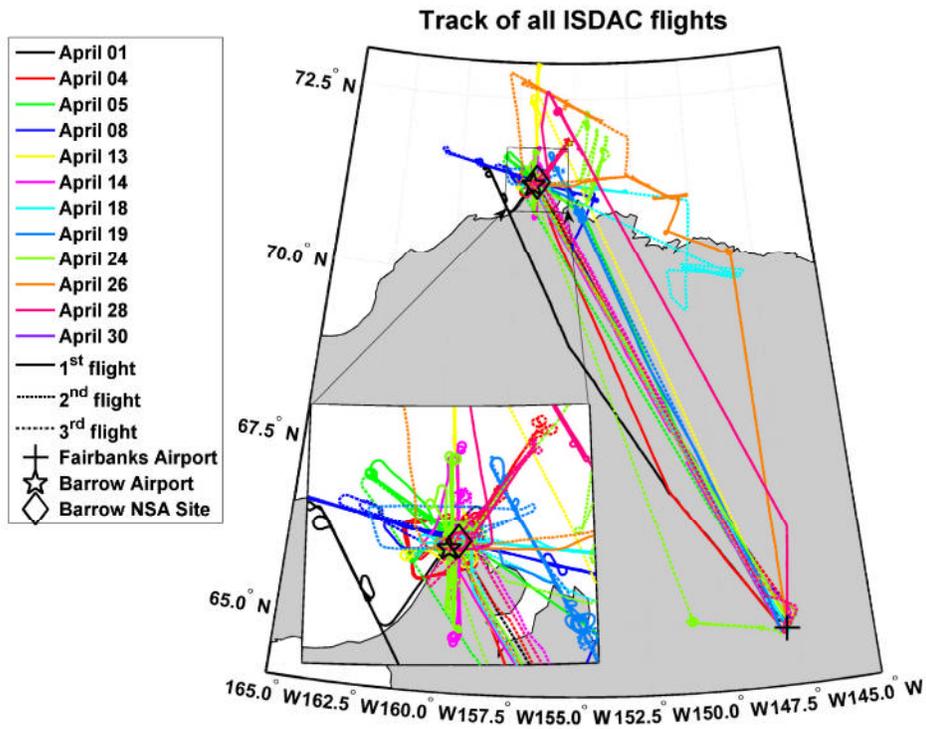


Figure 3: Flight paths followed by the NRC Convair-580 for different days during ISDAC. Inset shows close up around Barrow where the majority of flying was conducted. Different line types represent first, second and third flight of day (where day refers to local day of flight).

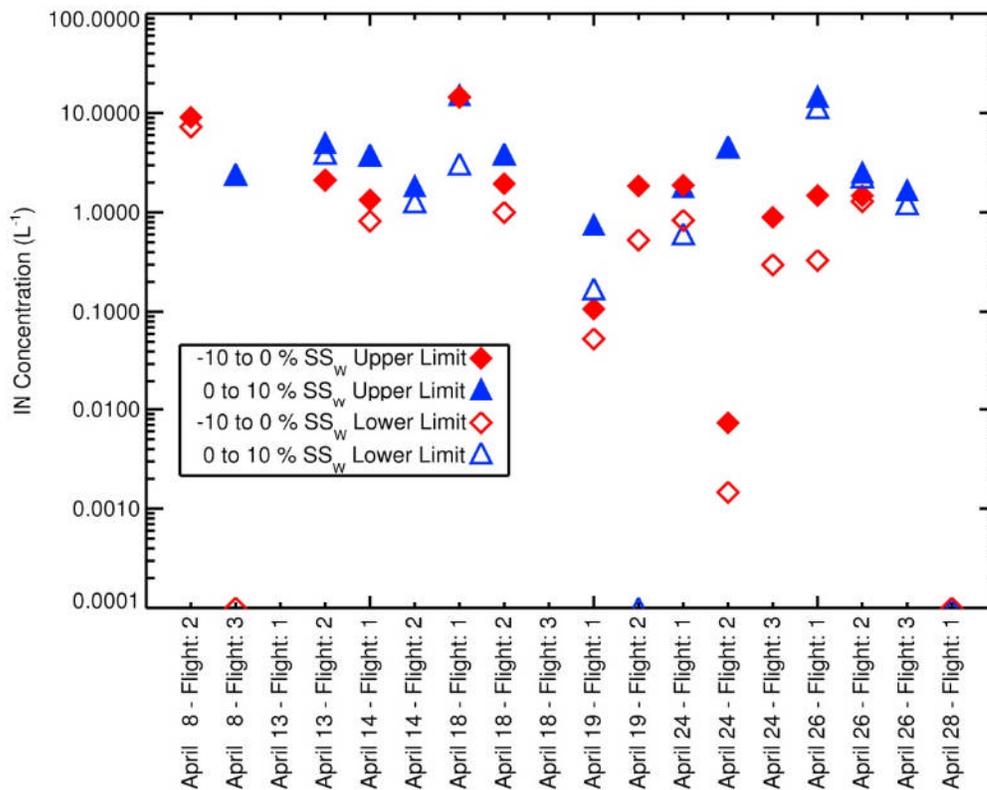


Figure 4: Average IN concentrations measured below (-10 to 0% SS_w) and above water saturation (0 to +10% SS_w) shown as solid diamonds and triangles, respectively, as function of flight number. In addition, lower limits to the IN concentrations below (-10 to 0% SS_w) and above water saturation (0 to +10% SS_w) are shown as open diamonds and triangles, respectively. Data in the figure were collected by sampling on the ambient inlet during clear air conditions.

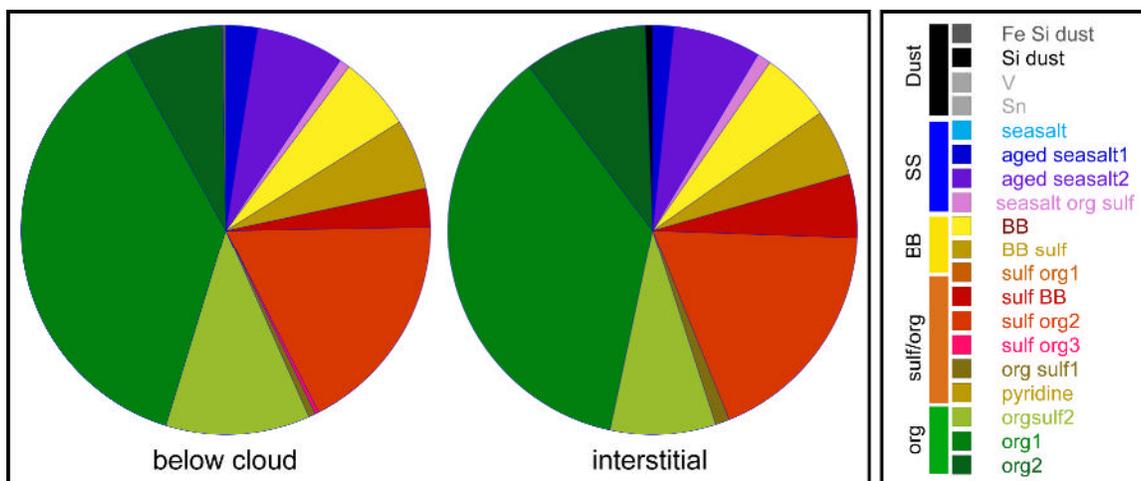


Figure 5: Pie charts showing the compositions of particles below cloud (left) and the composition of interstitial particles in cloud that were not activated. Observations acquired over 19 minute period on 26 April with interstitial particles sampled for 136 s.

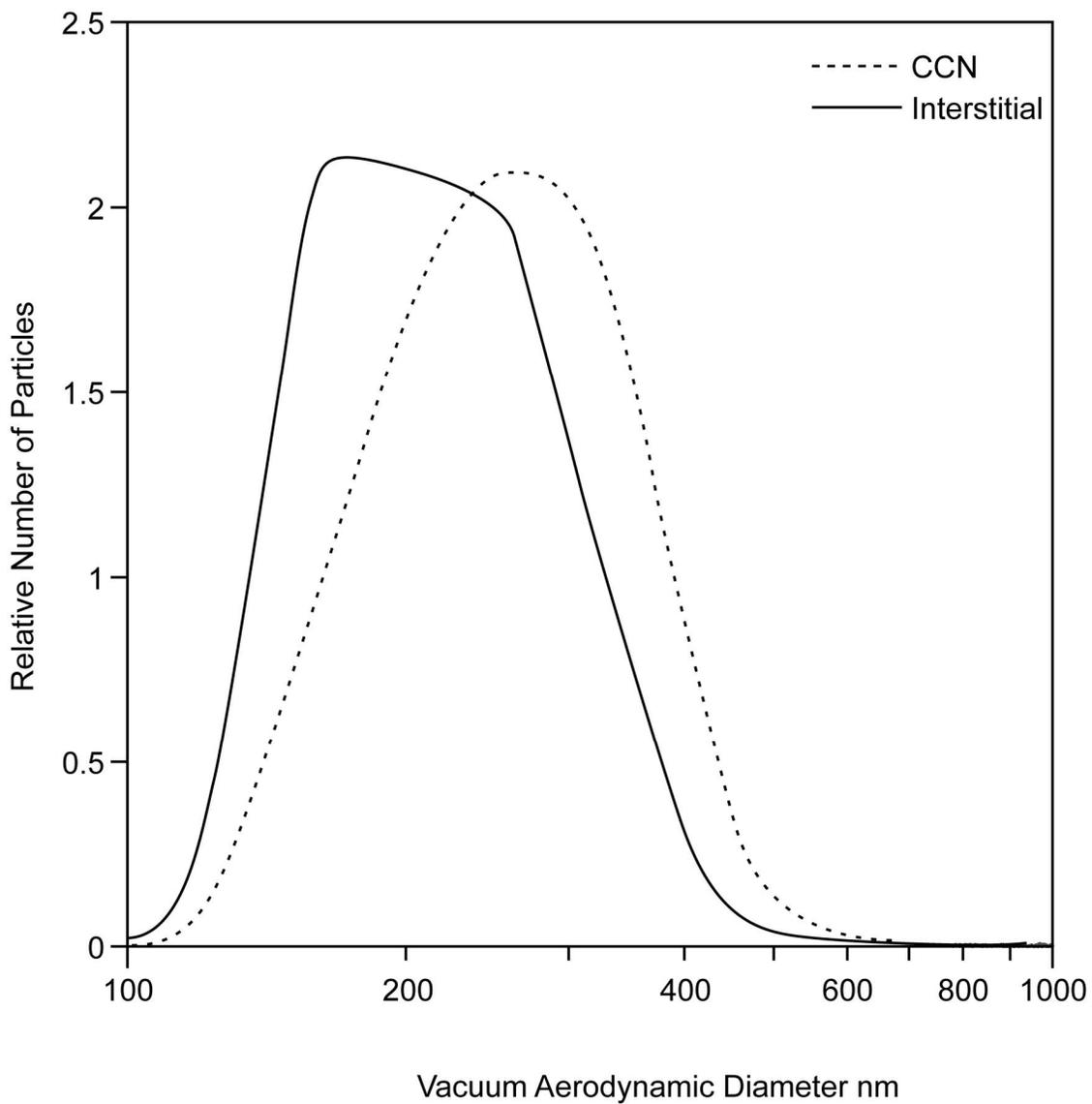


Figure 6: The SPLAT measured size distribution of the particles that activated to form cloud droplets (dotted) and of particles that were not activated (solid). Observations acquired on 26 April.

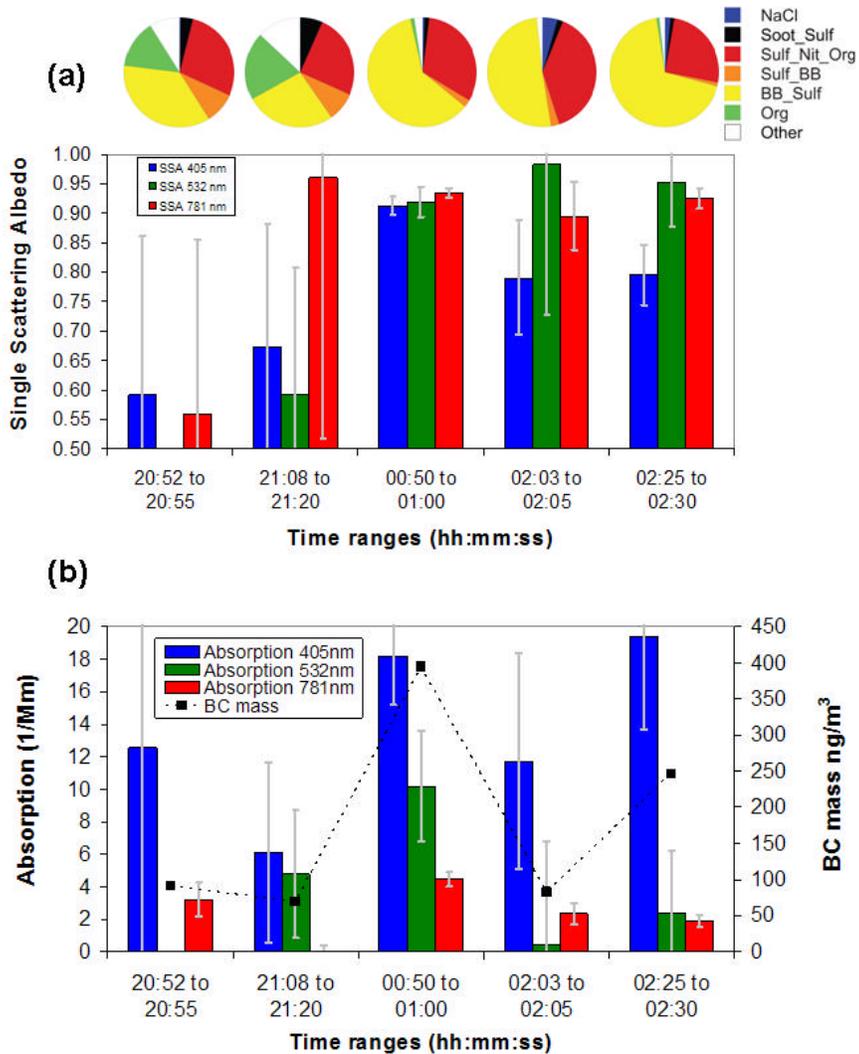


Figure 7: a) Measured ω_0 (780, 532, 405nm) for selected periods on 19 April 2008. Chemical composition of aerosol derived from SPLAT also shown. b) Absorption (left axis) at 3 wavelengths and black carbon mass concentration (right axis) estimated by analysis of SPLAT observations over same time periods (includes black carbon in soot-sulfate and black carbon in biomass burning particle types assuming a 5% weight fraction measured in-situ during ARCPAC for these plumes). Observations made in clear sky conditions, except for 2052 to 2055 (about 20 s collected in ice-phase clouds) and 0203 to 0205 (collected in liquid-phase clouds)

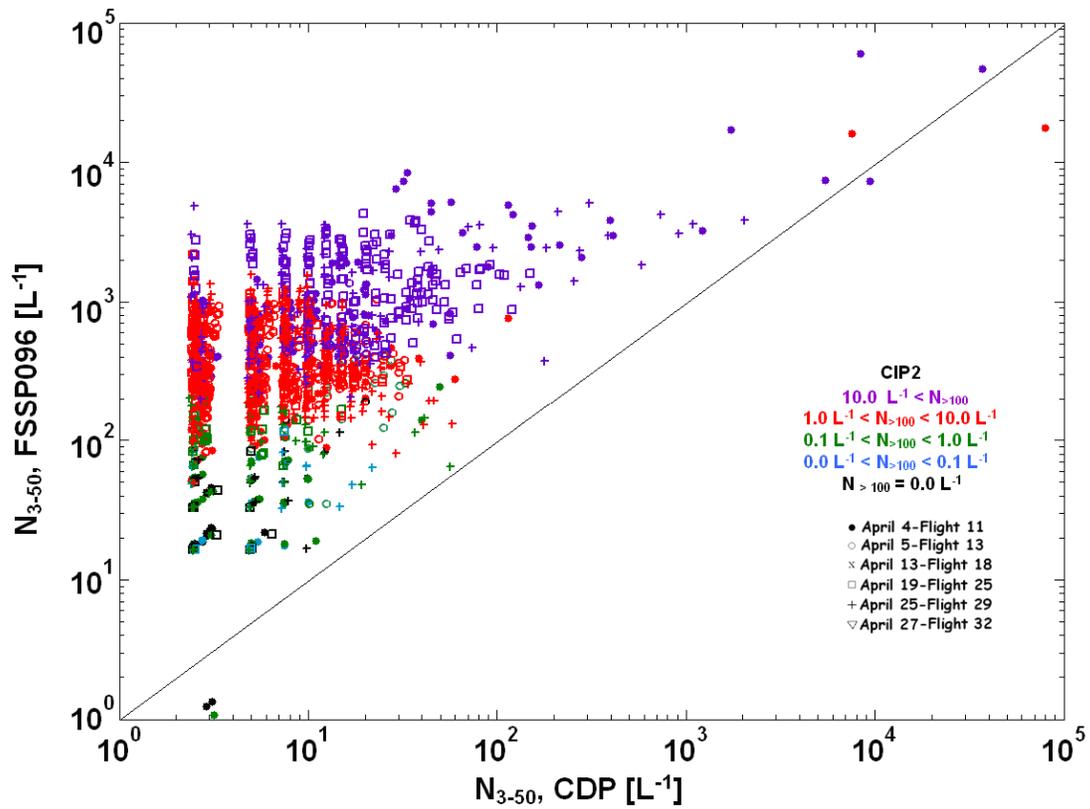


Figure 8: $N_{3-50, \text{FSSP}}$ as function of $N_{3-50, \text{CDP}}$ for measurements made in cirrus during transits between Fairbanks and Barrow on 4, 5, 13, 19 and 25 April 2008. Different dates are indicated by different symbols. Colors indicate different concentration of particles with $D > 100 \mu\text{m}$ measured by the CIP2.

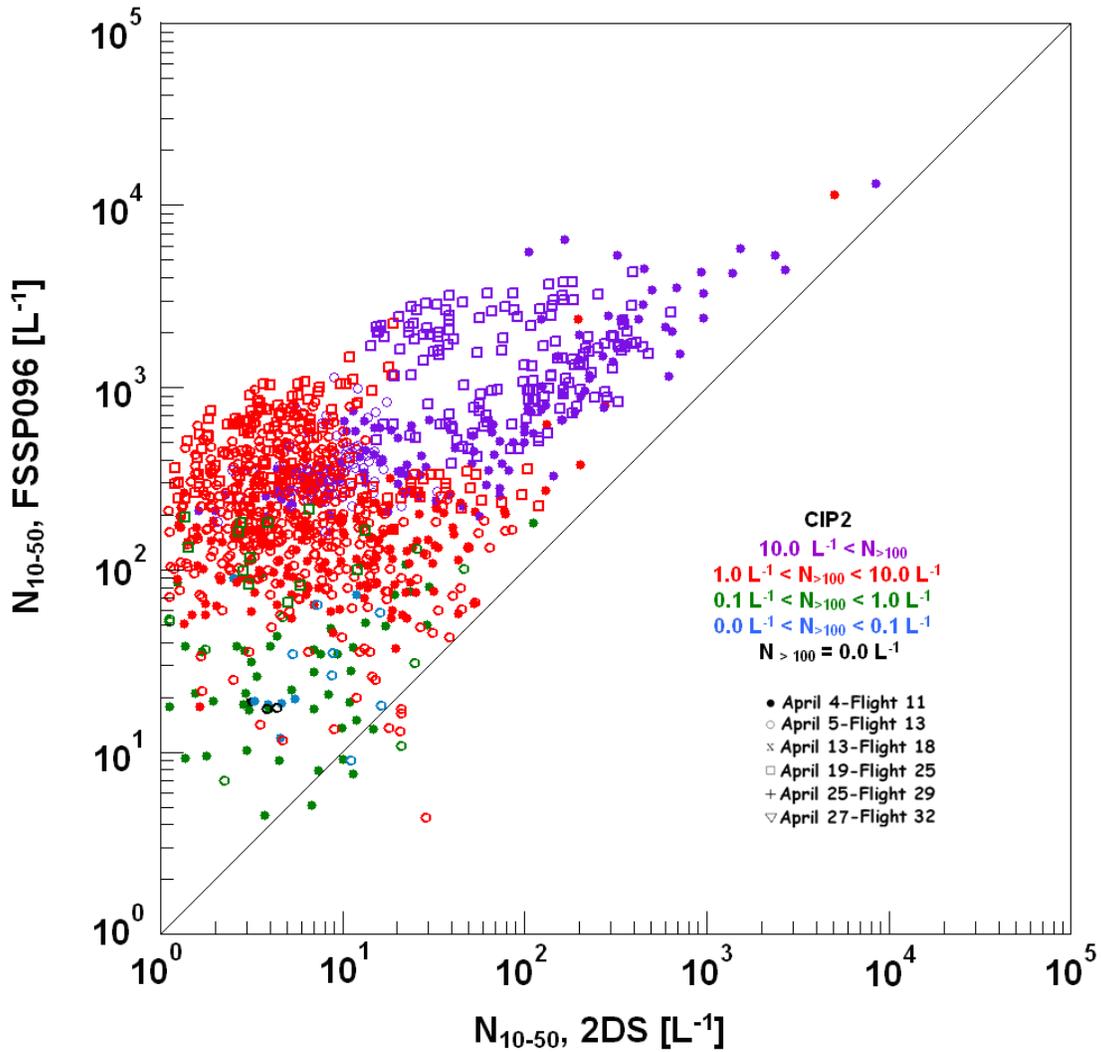


Figure 9: $N_{3-50,2DS}$ as function of $N_{3-50,FSSP}$ for measurements made in cirrus during transits between Fairbanks and Barrow on 4, 5, 13, 19 and 25 April 2008. Different dates are indicated by different symbols. Colors indicate different concentration of particles with $D > 100 \mu\text{m}$ measured by the CIP2.

8 April 2008 19:54:00-23:36:22

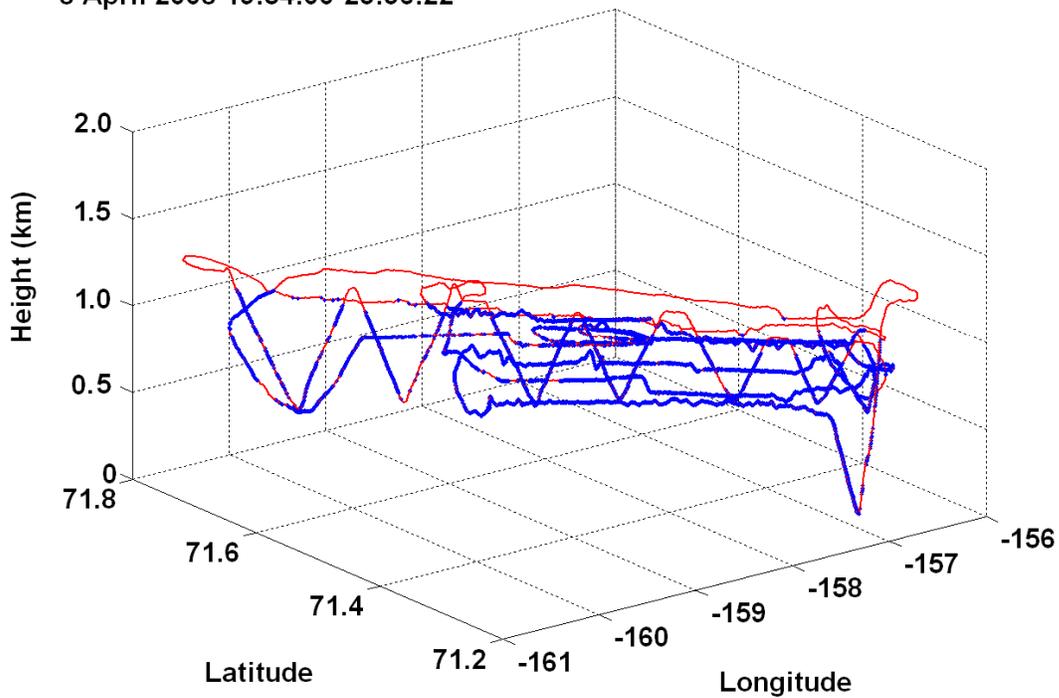


Figure 10: Flight track flown by NRC Convair-580 on second flight of 8 April. Constant altitude flight legs flown above, below and within cloud, together with ramped ascents and descents through cloud and spirals over NSA site. Blue represents locations where clouds were sampled, with cloud identified as locations where 10-s averaged CSI total water content $> 0.001 \text{ g m}^{-3}$.



Figure 11: Image of single-layer stratocumulus sampled by NRC Convair-580 on 8 April 2008. Glory and shadow of aircraft are seen in photo. Photo taken by Alexei Korolev when NRC Convair-580 was executing flight leg above stratocumulus.

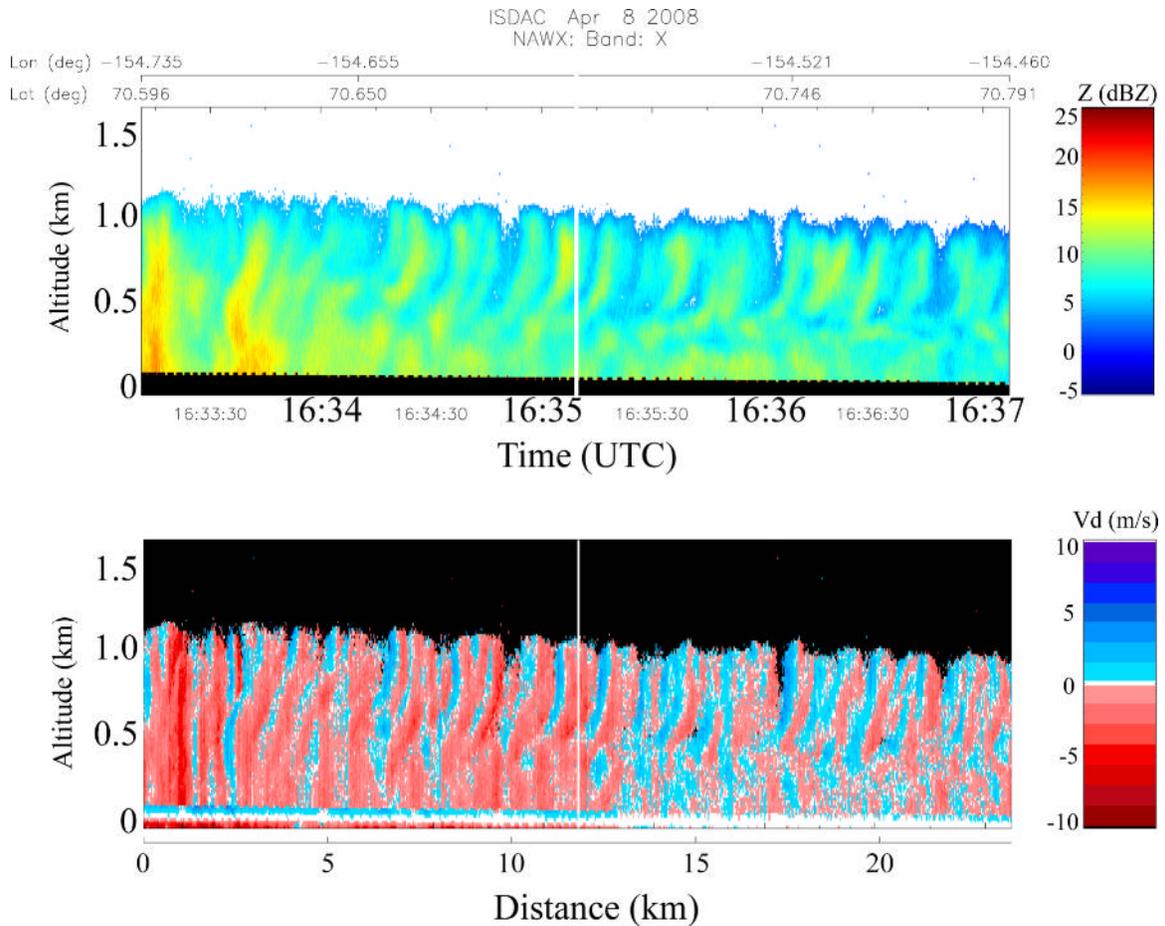


Figure 12: Cross-section of reflectivity (Z) and Doppler velocity (V_d), with negative values indicating downward motion, measured by NRC X-band radar during near constant altitude leg flight above single-layer stratocumulus on 8 April. Contribution of aircraft to V_d has been removed (ground is shown as white $\sim 0 \text{ m s}^{-1}$ in the V_d image)

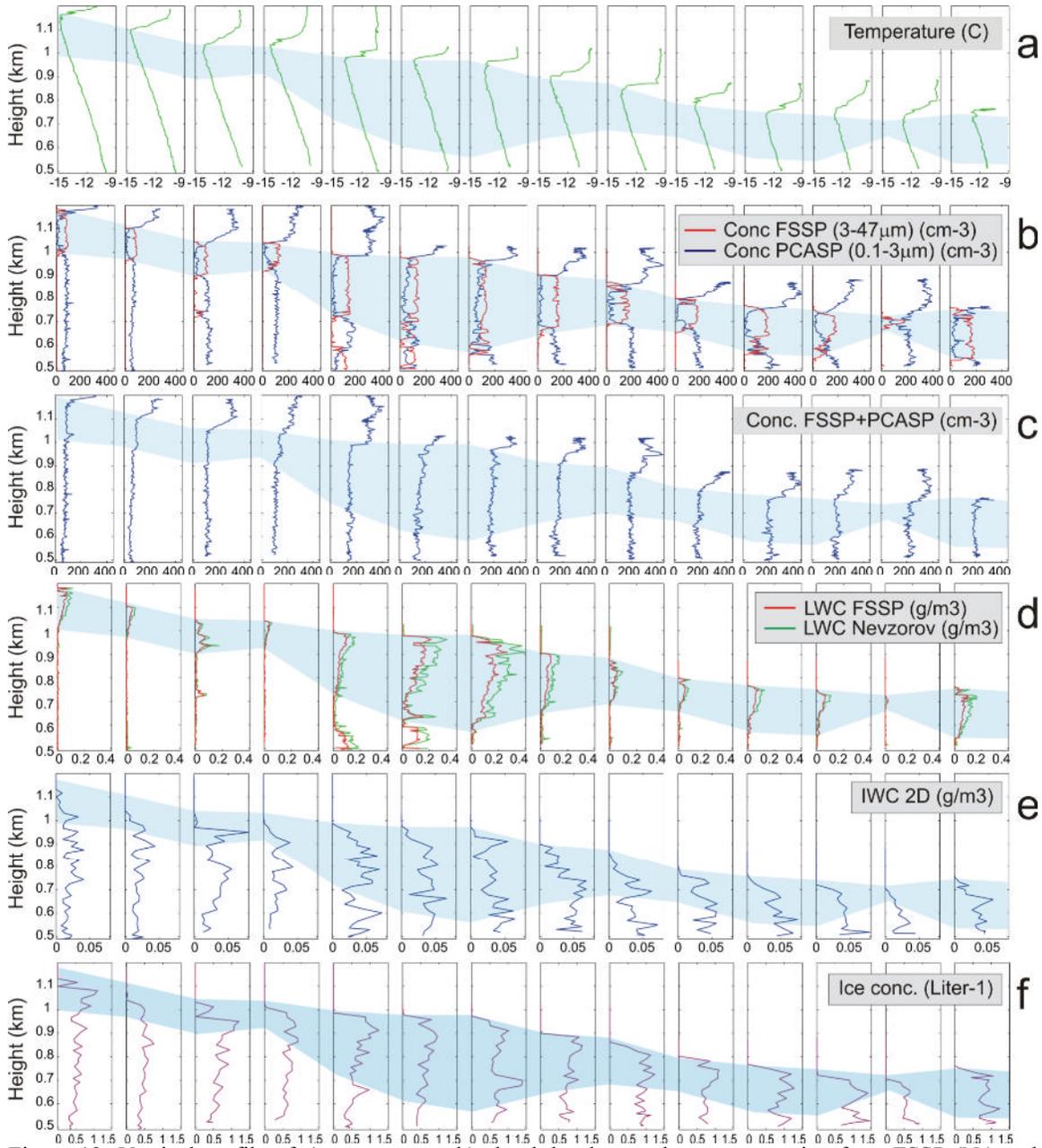


Figure 13: Vertical profile of a) temperature, b) cloud droplet number concentration from FSSP (N_d) and aerosol concentration from PCASP (N_a), c) $N_d + N_a$, d) liquid water content derived from FSSP size distribution and directly measured by Nevzorov probe, e) ice water content derived from size distribution measured by 2DP, and f) ice crystal concentration derived from 2DP. Each vertical profile was obtained during a single ramped ascent or descent during the leg when NRC Convair-580 porpoised from cloud top to bottom during Sortie 16 on 8 April 2008. Blue shading, based on analysis of individual profiles, represents location of liquid cloud layer on each profile.

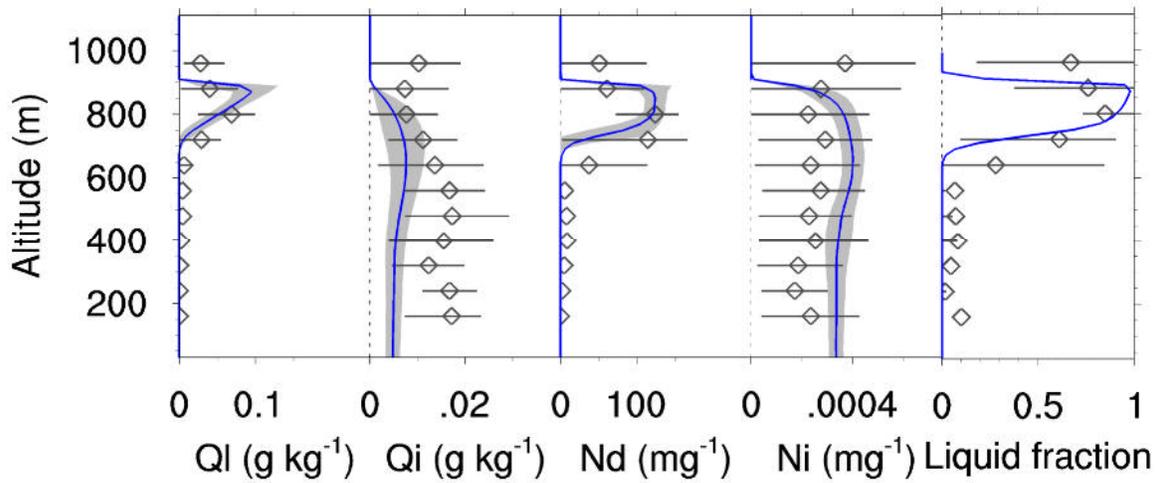


Figure 14: Model predicted (lines) and observed (diamonds) mean vertical profiles of mixed-phase cloud parameters (liquid, Ql, and ice, Qi, mass mixing ratios, droplet, Nd, and ice particle, Ni, number mixing ratios and liquid water condensate fraction) for Sortie # 31 on 26 April 2008. Shaded area and horizontal lines indicate 15 to 85 percentile ranges for simulated and measured parameters, respectively. For Nd, Ni, note that when air density is about 1 kg m^{-3} , $1 \text{ mg}^{-1} \sim 1 \text{ cm}^{-3}$.

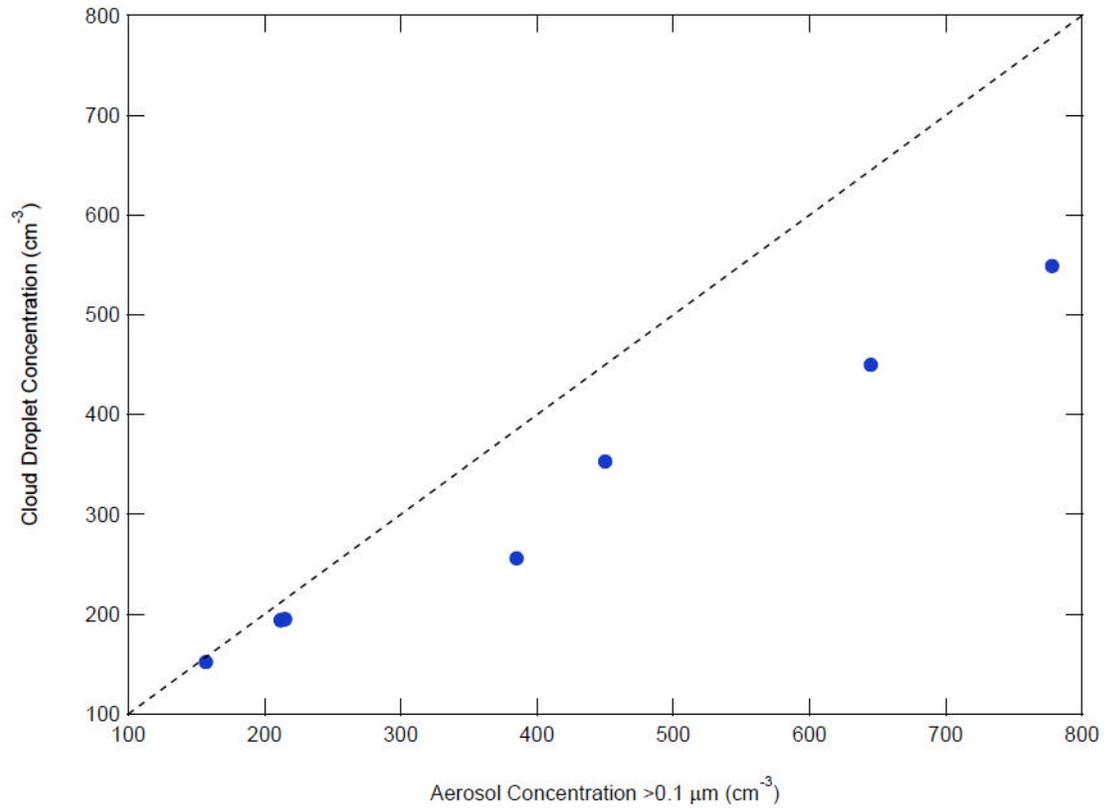


Figure 15: Mean concentrations of cloud droplets and sub-cloud aerosol particles larger than 0.1 μm on 6 different flights during ISDAC.

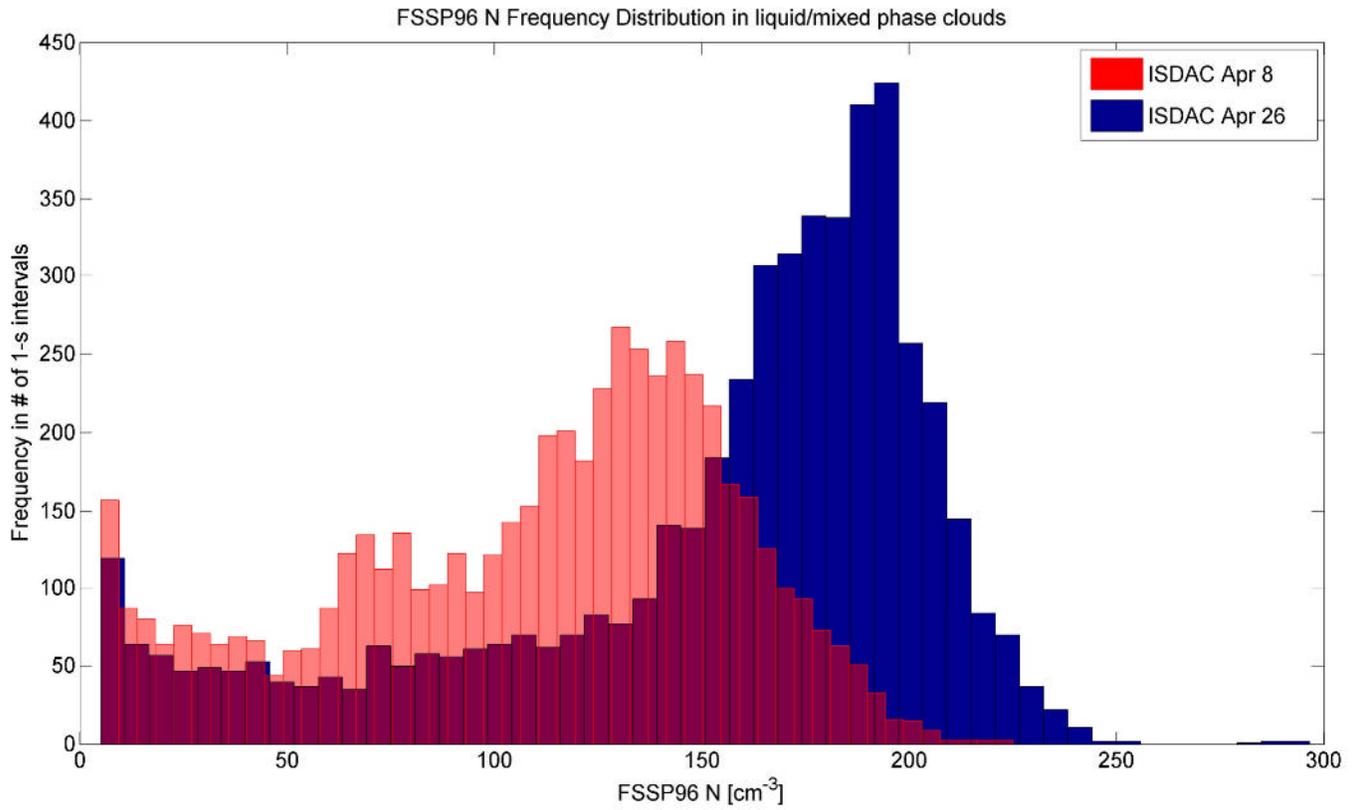


Figure 16: Frequency distribution of number concentration measured by FSSP in liquid and mixed-phase clouds for single-layer stratocumulus sampled on 8 and 26 April 2008. Each measurement represents a 1-s average or approximately 120 m of track length.

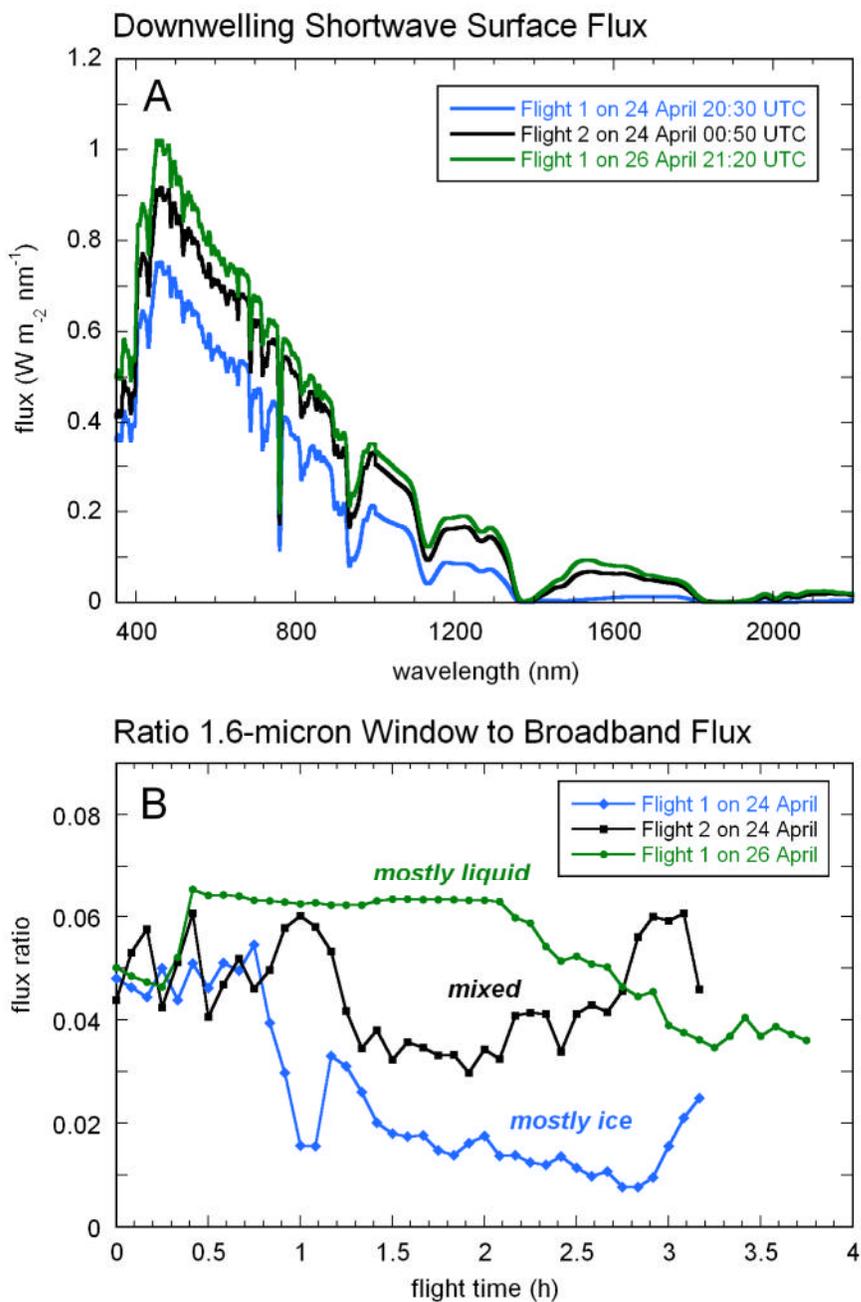


Figure 17. (a) Downwelling surface spectral flux obtained from the ASD (Inc.) spectroradiometer at NSA under the first and second flights of the NRC Convair-580 on 24 April and under the second flight on 26 April. The spectra were obtained approximately 2.5 hours into each flight. (b) For the duration of these three flights, the

ratio of the surface flux in the 1.6 μm window to the broadband flux, in five minute intervals.

Supplement

Indirect and Semi-Direct Aerosol Campaign (ISDAC): The Impact of Arctic Aerosols on Clouds

Greg M. McFarquhar, Steven Ghan, Johannes Verlinde, Alexei Korolev, J. Walter Strapp, Beat Schmid, Jason M. Tomlinson, Mengistu Wolde, Sarah D. Brooks, Dan Cziczo, Manvendra K. Dubey, Jiwen Fan, Connor Flynn, Ismail Gultepe, John Hubbe, Mary K. Gilles, Alexander Laskin, Paul Lawson, W. Richard Leitch, Peter Liu, Xiaohong Liu, Dan Lubin, Claudio Mazzoleni, Ann-Marie Macdonald, Ryan C. Moffet, Hugh Morrison, Mikhail Ovchinnikov, Matthew D. Shupe, David D. Turner, Shaocheng Xie, Alla Zelenyuk, Kenny Bae, Matt Freer and Andrew Glen

This document is a supplement to “Indirect and Semi-Direct Aerosol Campaign (ISDAC): The Impact of Arctic Aerosols on Clouds” by Greg M. McFarquhar, Steven Ghan, Johannes Verlinde, Alexei Korolev, J. Walter Strapp, Beat Schmid, Jason M. Tomlinson, Mengistu Wolde, Sarah D. Brooks, Dan Cziczo, Manvendra K. Dubey, Jiwen Fan, Connor Flynn, Ismail Gultepe, John Hubbe, Mary K. Gilles, Alexander Laskin, Paul Lawson, W. Richard Leitch, Peter Liu, Xiaohong Liu, Dan Lubin, Claudio Mazzoleni, Ann-Marie Macdonald, Ryan C. Moffet, Hugh Morrison, Mikhail Ovchinnikov, Matthew D. Shupe, David D. Turner, Shaocheng Xie, Alla Zelenyuk, Kenny Bae, Matt Freer and Andrew Glen

Corresponding Author: Dr. Greg M. McFarquhar, University of Illinois, Dept. of

Atmospheric Sciences, 105 S. Gregory St., Urbana, IL, 61801-3070.

Table S1: Instruments and measurements at ARM Barrow site during ISDAC experiment.

Instrument	Measurements/Derived Quantities
Radiosonde	Profiles of temperature, humidity and winds
Microwave radiometer	Water vapor path, liquid water path
Profiling microwave radiometer	Temperature, humidity
915 MHz radar wind profiler/Radio Acoustic Sounding System (RASS)	Winds, virtual temperature profile
Vaisala Ceilometer	Cloud base altitude
Millimeter cloud radar	Cloud liquid water, cloud ice content profiles
Micropulse lidar (polarized)	Backscatter profile, depolarization ratio
Atmospheric Emitted Radiance Interferometer (AERI)	Downwelling high-resolution infrared radiance spectra, profile of temperature and humidity, water path, optical depth, cloud thermodynamic phase, and effective radius of ice and water
Cimel sunphotometer	Aerosol optical depth
Multifilter Rotating Shadowband Radiometer (MFRSR)	Aerosol optical depth at multiple wavelengths
Normal incidence multifilter radiometer	Aerosol optical depth
Pyrgeometer (3.5 to 50 μm)	Infrared broadband radiative fluxes
Pyromometer	Shortwave broadband radiative fluxes
Total Precipitation Sensor (TPS)	Precipitation rate and amount
OTT Disdrometer	Precipitation rate, amount, reflectivity, drop size distribution
Humidified nephelometer	Aerosol scattering as function of relative humidity
Particle Soot Absorption Photometer	Aerosol absorption

(PSAP)	
Condensation nuclei counter	Total particle number
Passive Cavity Aerosol Spectrometer Probe (PCASP)	Accumulation mode size distribution
Droplet Measurement Technology (DMT) Cloud Condensation Nuclei (CCN) Counter	CCN concentration (one supersatation at a time)
Daily chemical analysis	Submicron mass, ion concentration
Ice particle counter (IPC)	Ice particle concentration
DMIST Visibility sensor/camera	Visibility
FD12P precipitation sensor	Precipitation amount, type, rate, extinction
Sentry Visibility sensor	Extinction and visibility
VRG101 precipitation instrument	Precipitation rate and amount
FMD droplet spectra	Cloud droplet size distributions
DSC Vaisala surface temperature sensor	Surface temperature
DSC Vaisala surface condition sensor	Surface precipitation phase and relative humidity
3D Young anemometer	3D wind and 16 Hz turbulence
SR50 Snow depth sensor	Snow depth
ASD Spectroradiometer	Shortwave spectral irradiance
Tandem Differential Mobility Analyzer	Aerosol composition

Table S2: Instruments installed on the NRC Convair-580 during ISDAC. Although most probes installed on each flight, a few probes were exchanged and only used for specific flights (e.g., two-dimensional probes with new tips designed to reduce shattering, the SPEC fast FSSP, and the CIP1).

Instrument	Measurements and/or Derived Quantities
Rosemont 102 probes (3)	Temperature
National Center for Atmospheric Research (NCAR) Reverse flow probe	Temperature
National Research Council (NRC) of Canada position measurement system	Aircraft positions, attitudes, etc
NRC wind measurement system	Winds and gusts
EG&G chilled mirror hygrometer	Humidity
LICOR LIC2G2 water vapor/CO2 instrument	Humidity
Buck Research CR-2 chilled mirror hygrometer	Humidity
Rosemount 858 gust probe	Vertical velocity
Rosemount Icing Probe (RICE)	Presence of supercooled water
Nevzorov liquid water content (LWC)/total water content (TWC) Probe	Liquid water content & total water content
PMS CSIRO King Probe	Liquid water content
Vibrameter	Total water content
DMT Cloud Spectrometer and Impactor (CSI)	Total condensed water content from counter-flow evaporator, and droplet size distributions from attached

	CDP (see separate item below)
DMT Cloud Droplet Probe (CDP)	Cloud size distributions (2 to 50 μm) attached to CSI above
Korolev cloud extinction meter	Cloud extinction
DMT Cloud, Aerosol and Precipitation Spectrometer (CAPS)	Cloud size distributions: Cloud and Aerosol Spectrometer CAS (1 to 50 μm), Cloud Imaging Probe CIP2 (25 to 1550 μm)
DMT CIP1	Cloud size distributions (15 to 960 μm)
Stratton Park Engineering Company (SPEC Inc.) Cloud Particle Imager (CPI)	2.3 μm resolution images of cloud particles
SPEC 2D-S Cloud Spectrometer	Cloud size distributions and images (10 to 1280 μm); 2 independent orthogonal views of particle population
Particle Measuring Systems (PMS) Forward Scattering Spectrometer Probe (FSSP)-100	Cloud size distributions (3 to 45 μm)
PMS FSSP-300	Cloud size distributions (0.3 to 20 μm)
PMS Two Dimensional Cloud Probe (2DC)	Cloud size distributions and cloud images (25 to 800 μm)
PMS Two Dimensional Precipitation Probe (2DP)	Precipitation size distributions and precipitation images (200 to 6400 μm)
PMS 2DC Grey	Grey-scale images of cloud particles, 15-960 μm
TSI 3775	Total aerosol concentration ($D > 4 \text{ nm}$)
TSI 7610	Total aerosol concentration ($D > 11 \text{ nm}$)
PMS Passive Cavity Aerosol Spectrometer Probe (PCASP-100X)	Aerosol size distribution (~ 100 to 3000 nm)

DMT Cloud Condensation Nuclei (CCN) Counter	CCN concentration
Continuous Flow Diffusion Chamber (CFDC)	Ice nuclei (IN) concentration
Radiance Particle/Soot Absorption Photometer (PSAP)	Mass of black carbon in air
DMT 3-laser photoacoustic and nephelometer (PASS-3)	Light absorption of aerosols at 3 wavelengths
TSI 3563 Nephelometer	Optical scattering properties
Single Particle Laser Ablation Time of flight mass spectrometer (SPLAT)	Single particle size-resolved composition of refractory and non-refractory material
Time-Resolved Aerosol Collector (TRAC), 2 samplers	Sampling of airborne particles with $0.1 < D < 2.5 \mu\text{m}$ for spectro-microscopy laboratory analysis
Counterflow Virtual Impactor	Separation of residual aerosol
DMT Ultra-high sensitivity aerosol spectrometer	Aerosol size distribution for $55 < D < 1000 \text{ nm}$
Tecran continuous Hg analyser (ARQD)	Mercury
TECO Model 49 Ozone analyzer	Ozone
Modified Teco Model 48 CO analyser	Carbon monoxide
Heitronics KT19.85 Infrared Thermometer	Nadir narrow-field IR temperature below aircraft
Broadband visible radiometers	Broadband hemispheric visible radiation, zenith and nadir view, 305-2800 nm

Broadband pyrgeometer (Epply 3.5 to 50 μm)	Broadband hemispheric infrared fluxes, zenith and nadir view
ProSensing Up-looking G-band radiometer	Multichannel radiometer centered on 183.31 GHz measuring downwelling microwave radiance
Ka-band up and down-looking radar	Cross-sections of radar reflectivity
NAWX X-band/W-band radar, dual polarization, dopplerized, up/down/side looking	Cross-sections of radar reflectivity and size-looking reflectivity/Doppler velocity fields

Table S3: List of applications being explored with the ISDAC data

Application	Input Data	Instrument	Validation data	Instrument
CCN closure	Aerosol size distributions	PCASP	CCN concentration	DMT CCN
Droplet number closure	Aerosol size distributions and vertical velocities	PCASP, gust probes	Droplet number concentration	CDP, CAS, FSSPs
Cloud extinction closure	Cloud particle size distributions	CDP, CAS, FSSPs, 2DC, CIP, 2DP	Cloud extinction	Extinctionmeter
Cloud water closure	Cloud particle size distributions	CDP, CAS, FSSPs, 2DC, CIPs, 2DP	Total water content (TWC)	CSI, Nevzorov probe
Cloud modeling	Aerosol size distributions, ice nuclei concentrations, radiative fluxes at model top, profiles of horizontal and vertical velocities, temperature and moisture, and surface fluxes and large-scale forcing	PCASP, CFDC, pyrgeometers, ECMWF reanalysis	Cloud particle size distributions, liquid water and total water content, precipitation and cloud extinction	CDP, CAS, FSSPs, 2DC, CIPs, 2DP, LWC probes, Nevzorov probe, CSI, Extinction meter, Hot-plate rain gauge, etc.
Semi-direct effect	Same as for cloud modeling, plus aerosol absorption and scattering	Same as for cloud modeling, plus PSAP and nephelometer	Same as for cloud modeling	Same as for cloud modeling
Relation between IN and aerosol	Ice crystal concentration	CFDC	Size-resolved aerosol composition	Single particle mass spectrometer

composition				
Relation between IN and ice crystal concentration	Ice crystal concentration, temperature and humidity	CFDC	Crystal size and habit and cloud particle size distributions	CPI, CDP, CAS, FSSPs, 2DC, CIPs, 2DP
Aerosol extinction retrieval	Aerosol extinction	MPL	Aerosol scattering and absorption	Nephelometer and PSAP
CCN retrieval	Aerosol backscatter and scattering, relative humidity retrieval, surface CCN and humidification function	MPL, RASS, Surface CCN and nephelometer	Cloud condensation nuclei concentration	DMT CCN
MMCR retrievals	Derived profiles of liquid water and ice water content	MMCR	Liquid water and total water content	LWC probes, Nevzorov probe & CSI
MWR retrievals	Derived liquid water path and retrieval of liquid water content	MWR	Liquid water content	King probe Nevzorov
AERI retrievals	Derived droplet and crystal optical depth, liquid and ice water path, droplet and crystal effective radius	AERI	Liquid water and total water content, cloud particle size distributions, cloud extinction	LWC probes, Nevzorov, CSI, CAS, CDP, FSSPs, CIPs, 2DC, 2DP, Extinction meter
ASD retrievals	Cloud optical depth, cloud water path, effective radius	ASD spectro-radiometer	Same as for AERI	Same as for AERI